

Enclosure

**U.S. ENVIRONMENTAL PROTECTION AGENCY
CERCLA SECTION 104(e)
INFORMATION REQUEST**

This information request is issued in relation to the response action being conducted by EPA at the Property located at 1300 North River Street in Portland, Oregon. Please answer each of the questions below. Please carefully read the Instructions and the Definitions that are attached to this Information Request (Attachment 1).

Your response to the following questions are due no later than **May 31, 2017**: Section 1.0 - questions 1, 2, and 3. Section 2.0 - questions 1, 2, 3, 21, 22, 23, 24, 25, 27, 28, 33, 34, 35, 36, 65, 66, 67, 68, and 72. Your response to the remaining questions are due no later than **June 14, 2017**. Please mail your response to:

Elizabeth McKenna
Office of Regional Counsel
United States Environmental Protection Agency, Region 10
1200 Sixth Avenue, ORC-113
Seattle, Washington 98101

In addition, please provide a second copy of the response electronically on a compact disc, if you choose, in Portable Document Format (PDF).

The EPA issued you an information request on February 26, 2008 in relation to the Portland Harbor Superfund Site to which you responded on approximately May 19, 2008. In order to alleviate the burden associated with responding to potentially duplicative questions, EPA has attached a copy of the 2008 request for information (Attachment 2) and your responses to those questions (Attachment 3). Please review the 2008 questions and your responses and either update your responses or confirm that your original responses remain accurate by **June 14, 2017**.

INFORMATION REQUEST QUESTIONS

Section 1.0 Respondent Information

1. Provide the full legal, registered name and mailing address of Respondent.
2. For each person answering these questions on behalf of Respondent, provide:
 - a. full name;
 - b. title;
 - c. business address; and
 - d. business telephone number, electronic mail address, and FAX machine number.
3. If Respondent wishes to designate an individual for all future correspondence concerning this Site, please indicate here by providing that individual's name, address, telephone number, fax number, and, if available, electronic mail address.

Section 2.0 Financial – Individual Ability to Pay

INCOME

1. Have you received income from any source during the past five years? List total amount for each year and itemize subtotals and sources for each year.
2. Provide annual salary from all income sources during the past five years. List amount(s) and source(s) for each year.
3. Have you received fees for management assistance or other services rendered to any individual, partnership, firm or corporation during the past five years? List the amount and source.
4. Have you received commissions from any source during the past five years? List the amount and source.
5. Have you received stock dividends from any corporation, domestic or foreign during the past five years? List the amount and source.
6. Have you received a bonus from any business during the past five years? List the amount and source.
7. Have you received rent payments during the past five years? List the amount and source.
8. If the answer to question 7 is “yes,” provide:
 1. the name and contact information (phone, mailing address, email address) for each renter.
 2. the location within the warehouse located on the Property which the renter leased from you. You may provide this information by providing a map of the warehouse and identifying the locations on the map.

ACCOUNTS, SECURITIES

9. Have you had savings or certificate of deposit accounts in any bank, domestic or foreign during the past five years? List the name and address of institutions, annual December balances of accounts, and current balance of accounts.
10. Have you had a checking, money market, or other form of account in any bank, domestic or foreign during the past five years? List the name and address of institutions, annual December balances of accounts, and current balance of accounts.
11. Have you had an individual retirement account(s) (IRAs), Keough, or other retirement or pension accounts during the past five years? List the type of accounts and current balance of accounts.
12. Have you had accounts receivable, mortgages receivable, or other amounts owing to you from any person during the past five years? List the name of the person owing, type of debt owing, and amount owed.
13. Were you involved in a partnership, joint venture, or other non-corporate accounts which you shared with others and for which you were the sole signatory during the past five years? List the name and address of institutions, annual December balances of accounts, and current balance of accounts.

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14. Have you owned stocks, bonds, mutual funds, or other securities during the past five years? Provide a list and include the date & acquisition cost.
15. Have you had a brokerage account with any broker during the past five years? List the name of brokers, account numbers, and outstanding balance in each account.
16. Have you owned any bonds, government debt issues, or evidence of debt of any governments during the past five years? List each such item owned and date and cost of acquisition.
17. Have you owned commodities during the past five years? Provide a list and include including date and cost of acquisition.
18. Have you had a commodity account with any broker during the past five years? List the name of brokers, account numbers, and outstanding balance in each account.
19. Have you had an account with any financial institution, brokerage house, or other financial or credit organization, other than those listed above during the past five years? List the name of the institution(s), account number(s) and outstanding balance(s) in each account.
20. Have you had power of attorney or authority of any nature whatsoever, either over stocks, bonds, commodity accounts, or other form of securities during the past five years? Identify and describe any such property and beneficial owner thereof.

REAL ESTATE

21. Have you owned a house, dwelling place, condominium, or cooperative apartment, including those outside the United States during the past five years? List the address, date of purchase, and purchase price.
22. Have you owned real estate and/or have ownership interest in any real estate (including but not limited to fee ownership, beneficial interest under a trust or similar instrument, an option, or a leasehold interest during the past five years? List the address, legal description if other than fee ownership, location and book and page number of where deed is recorded, and date and cost price of acquisition.
23. Have you had an ownership interest in any partnership, joint venture, trust, corporation, or association that owns real estate during the past five years? List the names of such entities, interest owned, and date and cost of acquisition.
24. Have you had an appraisal of real estate in which you have an ownership interest during the past five years? List the property address, appraiser, date of appraisal, and appraisal amount.
25. Have you owned any mortgages or have any interest in any mortgages during the past five years? List the amount, interest, description of property mortgaged, identities of mortgagor and mortgagee.
26. Have you acted as a trustee or fiduciary with respect to any real estate during the past five years? Identify and describe any such property and beneficial owner thereof.

INSURANCE

27. Have you owned an insurance policy of any type during the past five years? List the name of company, face amount of policy, cash surrender value, outstanding loans, type of insurance, beneficiary and amounts borrowed thereon.
28. Specifically, are there any insurance policies that relate to the Property located at 1300 North River Street, Portland, Oregon? List the name of company, face amount of policy, cash surrender value, outstanding loans, type of insurance, beneficiary and amounts borrowed thereon, and provide a full and complete copy of such policy/ies.
29. Have you received dividends from any insurance company during the past five years? List as in the preceding question.
30. Have you paid any premiums on, and/or had someone pay premiums on your behalf, on any insurance policy during the past five years? List as in the preceding question.
31. Are you the beneficiary of any insurance policy? List as in the preceding question.
32. Have you created, and/or has someone created on your behalf, any life insurance trusts or any other form of life insurance owned on your life by others? List as in the preceding question.

CORPORATE INTERESTS

33. Please list any business in which you have an ownership interest both privately or in partnership.
34. Describe the nature and extent of any ownership interest that you may have in a business. Describe when and how such ownership interest was acquired.
35. Supply financial records which clearly show your personal financial position and the financial status of businesses which you own privately or in partnership.
36. Supply any and all state or federal business income tax returns filed by businesses identified in response to question 33 for the past five years. Include all schedules and forms filed as part of those returns.
37. If any of the returns submitted by you in response to question 36 have been audited, corrected, amended or changed; or, if you have been notified of an audit, please describe the circumstances pertaining to that event.
38. Provide a history (e.g., payment dates, amounts received, etc.), for the past five years of dividends received by you from any companies resulting from your ownership of capital stock in such company.

OTHER PROPERTY

39. Have you acted as a trustee or fiduciary with respect to any personal property during the past five years? Identify and describe any such property and beneficial owner thereof.
40. Do you own an automobile, truck, motor home, other motor vehicle of any kind, sailboat, motorboat, airplane, or other such item? List the date and cost of acquisition.
41. Do you have personal property that at the time of purchase had a value over \$500, including but not limited to cameras, televisions, audio equipment, jewelry, watches, furs,

or similar property? Include a description, serial number (if any) and date and cost of acquisition.

42. Do you own or have any legal or financial interest in any work of art, musical instrument, or appraised antique that at the time of purchase had a value over \$500? Include a description, date and cost of acquisition.
43. Have you had a safe deposit box or access to any safe deposit box? List name and address of each institution, each safe deposit box and the contents thereof.

CLAIMS AND LEGAL SUITS

44. Have you had a claim against any insurance company? Identify the company, amount of the claim and description of the claim.
45. Have you had a claim against or interest in any estate or any trust of any person, whether living or dead? Identify estate or trust, amount of claim and description of claim.
46. Have you had a claim against any person, whether living or dead, or any firm or corporation? Identify the individual or entity and amount of the claim and description of claim.
47. Have you had an interest in any promissory notes, drafts or other commercial paper? Identify the maker or drawer, date, and amount.
48. Are you entitled to receive any money from any State, City or Federal government, or agency or department thereof? Identify the agency or department and amount.
49. Are you entitled to any Federal, State or City income tax refund? Identify and list the amount.
50. Are you a plaintiff or defendant in any action now pending in any court? List the style (name or heading) of each case, case number, and court in which each case is pending.
51. Are you a party to any arbitration proceeding now pending before any arbitrator or board of arbitration? Identify each proceeding.
52. Are there any judgements or decrees outstanding against you? List the style of each case, case number, and court which entered each judgement of decree.
53. Have you executed guaranties of the indebtedness or obligation of any individual, corporation, partnership, realty trust or other entity? Identify the debtor, amount, and holder of each guaranty.

GIFTS AND CONVEYANCES

[Note: Your response to questions 46-55 need refer only to gifts and conveyances with a cash or market value over \$1,000.]

54. Have you made any gifts of money, securities, real estate, or other property or assets during the past five years? List and describe the property given, approximate value at time of acquisition, date of gift, and identity of recipient.
55. Have you made any sale, conveyance, or other disposition of real or personal property during the past five years? List and describe the property transferred, approximate value in terms of cost of acquisition, property or money received for such transfer (if any), date of transfer and identity of recipient.

56. Have you made a sale, conveyance, or other disposition of real or personal property during the past five years in which benefits, annuities, reservations of rights, or options run to you? List and describe the property transferred, approximate value in terms of cost of acquisition, property or money received for such transfer (if any), date of transfer and identity of recipient, and terms of transfer and such retention of rights.
57. Have you made any releases or waivers during the past five years of any valuable claims or contract rights which you owned or held against other parties? List and describe claims, approximate value, property or money received for release (if any), date of release, and identity of recipient.
58. Have you made any gifts to a spouse, children, grandchildren, siblings, or other relatives of money, securities, real estate, or other property or assets or otherwise transferred such property to such persons during the past five years? List and describe property given, approximate value at time of acquisition, date of gift, and identity of recipient.
59. Have you made any payments to creditors, outside of the ordinary course of business? Identify the creditor and list amount and date of payment.
60. Has any realty trust or other trust in which you have held a beneficial interest at any time during the past five years made any transfer of property during such time? List and describe all such transactions, including date of transfer, nature and value of property transferred to whom transferred, consideration for the transfer, and reason for the transfer.
61. Have you conveyed any beneficial interest in any realty trust, or other trust, during the past five years? List and describe all such transactions, including date of transfer, nature and value of beneficial interest transferred, to whom transferred, consideration for the transfer, and reason for the transfer.
62. Has any general partnership or limited partnership in which you have held an ownership interest at any time during the past five years made any transfer of property during such time? List and describe all such transactions, including date of transfer, nature and value of property transferred, to whom transferred, consideration for the transfer, and reason for the transfer.
63. Has any corporation or other legal entity in which you have held a five percent (5%) or greater ownership interest during the past five years made any transfer of property outside of the ordinary course of business during such time? List and describe all such transactions, including date of transfer, nature and value of property transferred, to whom transferred, consideration for the transfer, and reason of for the transfer.

BENEFICIAL, CUSTODIAL, AND FOREIGN INTERESTS

64. Has any real or personal property been held by others for your benefit during the past five years? List and describe property so held, approximate value in terms of cost of acquisition, date of acquisition, and identity of holder.
65. Are you a beneficiary or heir under any will of a person now deceased? Identify the decedent, describe status of will and probate proceedings, and describe nature and value of real and personal property conveyed to you under such will.

66. Are you currently a beneficiary of any realty trust, or other trust? Identify each such trust, all beneficiaries of trust, all assets held by trust during such period, nature and value of beneficial interest, and date interest was acquired.
67. Are you a custodian of property for any individual or entity? Include a description of such property and identity of beneficial owner.
68. Have you owned property, real or personal, (including but not limited to real estate, stocks, bonds, or partnership interests) in any foreign country? List and describe each item and its location, address and legal description of real property, serial numbers (if any), and date and cost of acquisition.
69. Do you have bank accounts, savings accounts or deposit accounts in banks in any foreign countries? List name and address of each institution and outstanding balance of accounts.

FINANCIAL STATEMENTS, TAXES, AND WILLS

70. Have you prepared or had prepared for you any financial statement or schedule, financial projection, tax statement, stock broker report, accountant report, or other document that presents a summary of your financial condition (in whole or in part), or have issued any such document to any bank, financial institution, banking corporation, firm, investor, or other person in during the past five years? List and attach a copy of all such documents.
71. Please attach true and correct copies of the tax returns (and all amendments thereto) which you have filed with the Internal Revenue Service and any state, local, or foreign government for the period being investigated.
72. Please attach true and correct copies of all tax bills you have received from the Internal Revenue Service and any state, local, or foreign government for the period being investigated.
73. Please attach a copy of each will, codicil, living or testamentary trust, or similar instrument made or executed by yourself during the period being investigated.

OTHER INFORMATION

74. Except for the property herein set forth above, do you own or otherwise control property having a value in excess of \$500? List and describe such property, and date and cost of acquisition.
75. What is your best estimate of current net worth: [amount].
76. List persons who you consulted with in the preparation of your responses: List the name) and address.
77. Is there any other person who has information responsive to this Information Request that is not in your possession, custody or control? List name and address.

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DECLARATION

I declare under penalty of perjury that I am authorized to respond on behalf of Respondent and that the foregoing is complete, true, and correct.

Executed on _____, 2017

Signature

Type or Print Name

Title

Mailing Address: