

April 5, 2000

Communications

Data, Information, and Knowledge Management

Economic and Statistical Support

Emissions Inventory and Exposure Assessment

Engineering

Environmental Health

Environmental Management Systems

> Environmental Measurements

Occupational Health and Safety

Regulatory Support

Community Environmental Planning

Equal Opportunity Employer Printed on Recycled Paper Dear Reviewers:

The following is a recap of what was presented at the Informational Meeting for the Peer Review of Hudson River PCBs Ecological & Human Health Risk Assessment. This meeting took place March 22 and 23, 2000 at the Sheraton Saratoga Springs in Saratoga Springs, NY.

Please refer to the enclosed agenda, which specifies the presentations and their corresponding numbered packet.

You will also find two videos that were taken at the briefing. There is an error on the labels on the videos. The videos correspond with the following dates:

Tape #1:Currently labeled 03/24; is actually 03/22; Day 1Tape #2:Correctly labeled; 03/23/00

If you have any questions or concerns, please do not hesitate to contact any of us here at ERG.

Thank you.

Sincerely,

melanie Resso

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United States Environmental Protection Agency Region 2

Informational Meeting for the Peer Review of Hudson River PCBs Ecological & Human Health Risk Assessment

Sheraton Saratoga Springs Saratoga Springs, New York March 22-23, 2000

Agenda

Meeting Facilitator: Jan Connery, Eastern Research Group, Inc.

WEDNESDAY, MARCH 22, 2000

- 8:00AM Registration/Check-in
- 8:30AM Welcome Remarks Jan Connery, Eastern Research Group, Inc.
- 8:45AM Presentation on Site Background Alison Hess, U.S. Environmental Protection Agency

SLIDE PACKET #1

- 10:00AM BREAK
- 10:15AM Presentation on Findings from Previous Reports Alison Hess, U.S. Environmental Protection Agency

SLIDE PACKET #1

- 11:00 AM Adjourn for Site Tour
- 11:30AM Board Bus for Site Tour
- 12:00AM LUNCH (on own, bus will stop at local restaurant)
- 5:00PM End of Site Tour/Return to Hotel

THURSDAY, MARCH 23, 2000

8:30AM Presentations on Ecological Risk Assessment Ed Garvey, TAMS Consultants, Inc. SLIDE PACKET #2
Helen Chernoff, TAMS Consultants, Inc. Katherine von Stackelberg, Menzie-Cura & Associates, Inc. SLIDE PACKET #3
10:30AM BREAK
10:45AM Review the Charge to Reviewers on the Ecological Risk Assessment

Review the Charge to Reviewers on the Ecological Risk Assessme Damien Hughes, U.S. Environmental Protection Agency

41:45AM LUNCH (on own)

THURSDAY, MARCH 23, 2000 (CONTINUED)

- 1:00PM Presentations on Human Health Risk Assessment Marian Olsen, U.S. Environmental Protection Agency David Merrill, Gradient Corp. SLIDE PACKET #4
- 3:00PM BREAK
- 3:15PM Review the Charge to Reviewers on the Human Health Risk Assessment Damien Hughes, U.S. Environmental Protection Agency
- 4:15PM Adjourn

Hudson River PCBs Site Reassessment



Risk Assessment Peer Review

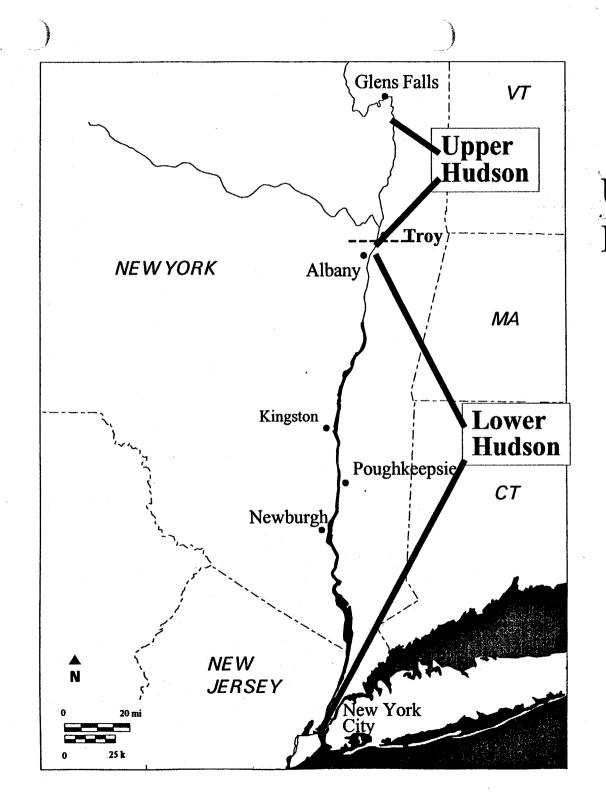
March 22, 2000

Alison A. Hess, CPG USEPA - Region 2

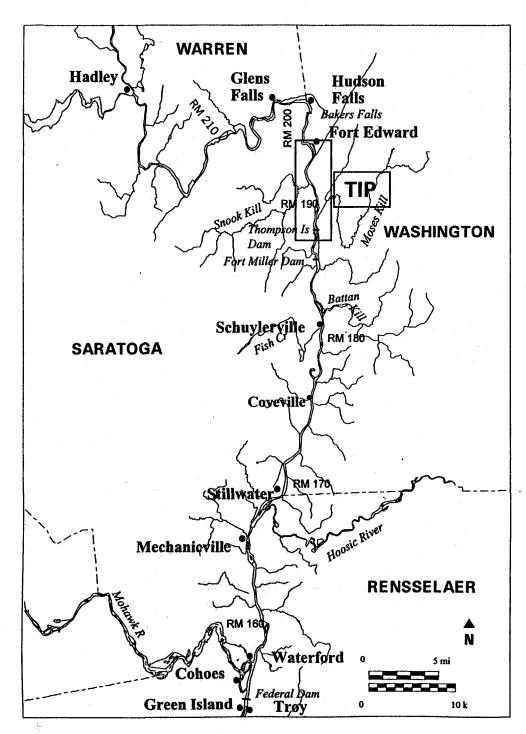
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Hudson River PCBs Site Reassessment

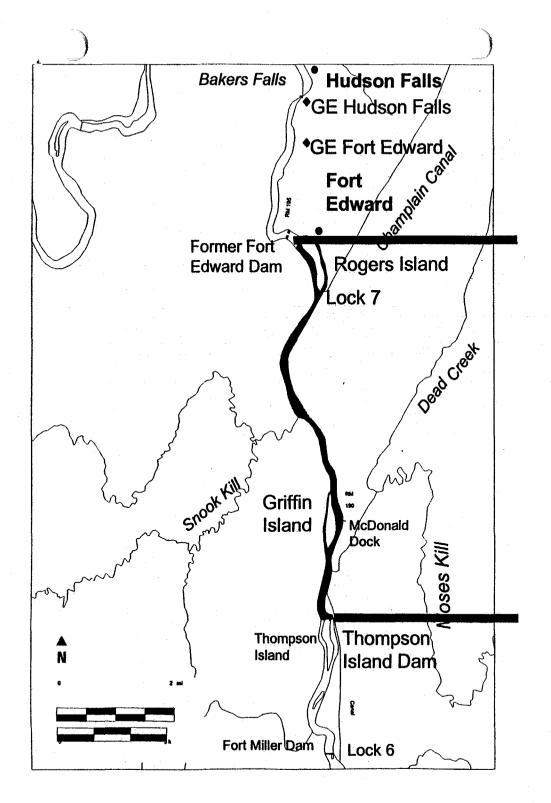
- Site Background
- Findings from Previous Reports
- Feasibility Study



Upper and Lower Hudson River



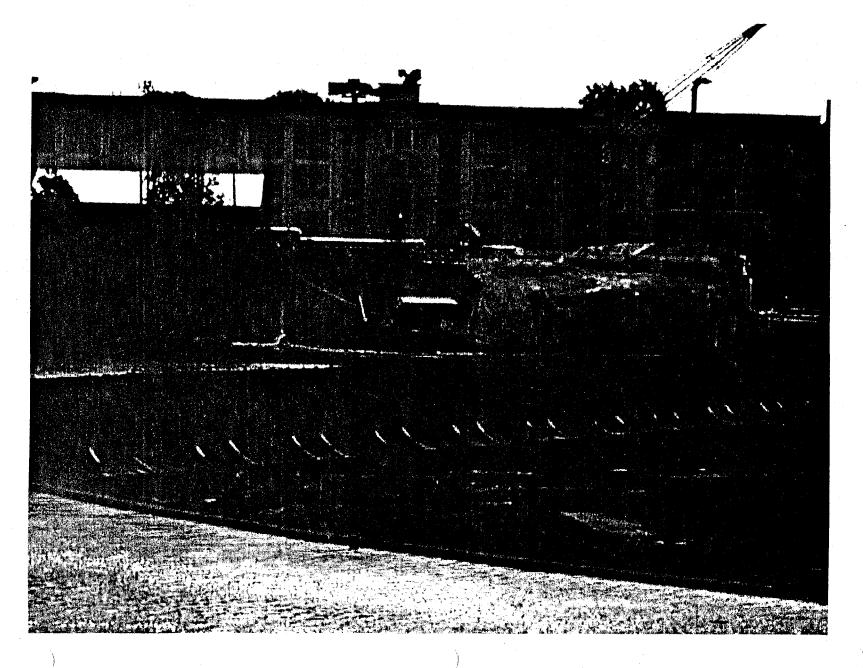
Upper Hudson River



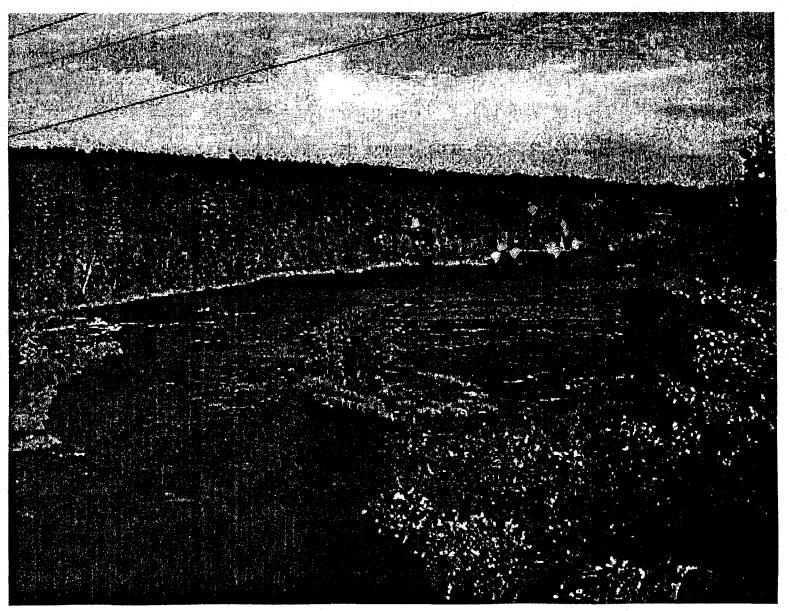
Thompson Island Pool

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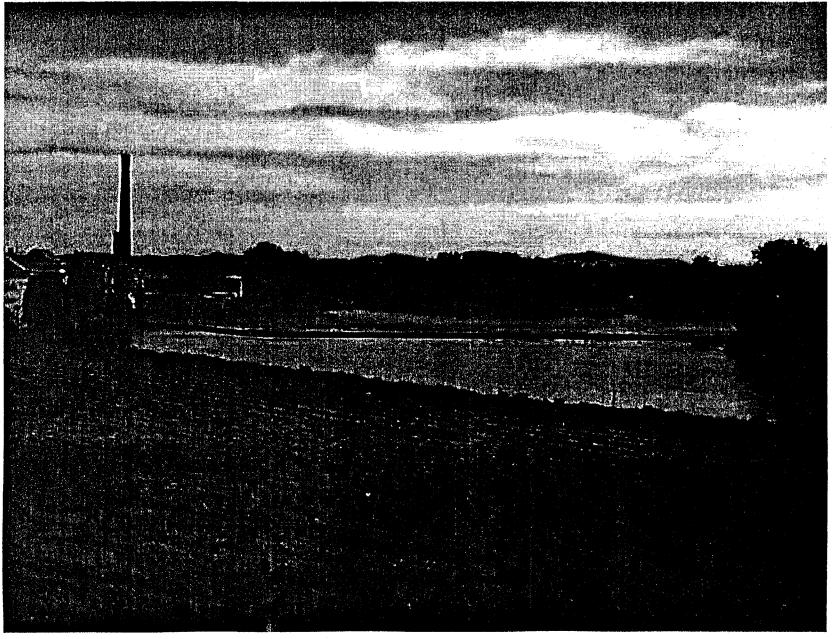
GE Hudson Falls Plant Site - Bakers Falls Dam



Upper Hudson River - Looking Upstream from Fort Edward

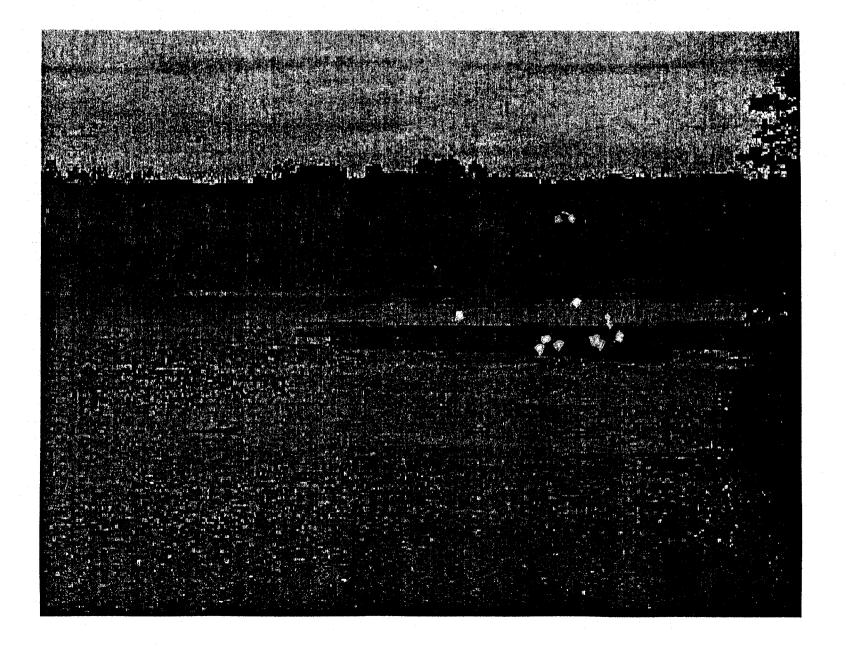


Remnant Deposit 5 and Location of Former Ft. Edward Dam

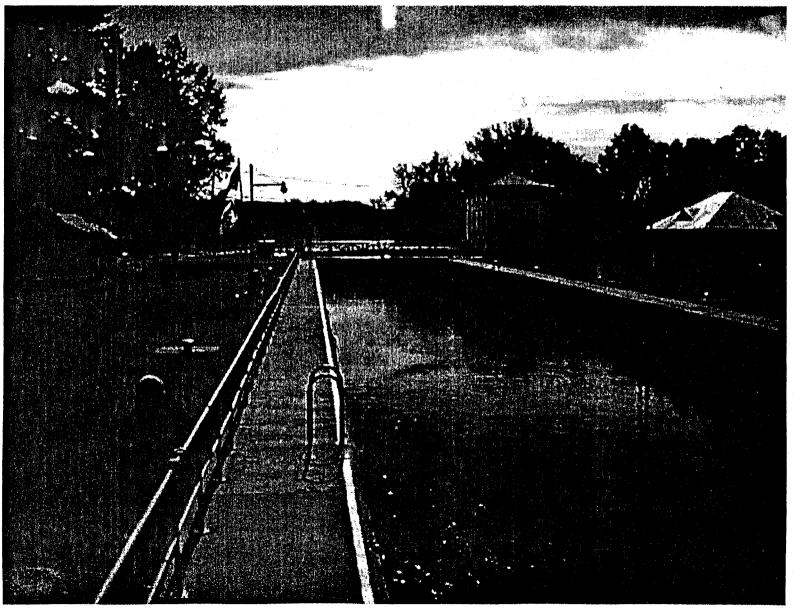


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Upper Hudson River - Thompson Island Pool



Upper Hudson River /Champlain Caral



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Hudson River PCBs Site Timeline

- 1947 GE used PCBs in manufacturing capacitors-1976
- 1973 Ft. Edward Dam removed
- 1976 Fishing ban and consumption advisories
- 1980 Clean Water Act Section 116
- 1983 Site proposed for Superfund NPL
- 1984 Record of Decision

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Hudson River PCBs Site 1984 Record of Decision

- Cap Remnant Deposits
- Treatability Study for Waterford
- Interim "No-Action" for PCB-contaminated sediments

Decision to Conduct the Reassessment

- Re-opener in 1984 ROD
- Requested by NYSDEC
- EPA requirement for 5-Year Reviews

Reassessment Announced December 1989

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Purpose of the Reassessment

To evaluate whether any action is required to address the PCB-contaminated sediments in the Upper Hudson River in order to be protective of human health and the environment.

Principal Reassessment Questions

1. When will PCB levels in fish meet human health and ecological risk criteria under continued No Action?

2. Can remedies other than No Action significantly shorten the time required to achieve acceptable risk levels?

3. Could a flood scour sediments, exposing and redistributing buried contamination?

Hudson River PCBs Site Reassessment

- 1989 Decision to conduct the Reassessment
- 1990 Reassessment Scope of Work issued
- 1991 Remnant Deposit capping completed Event at GE Hudson Falls Plant Site
- 1992 EPA Phase 2 sampling and analysis - 1994
- 1995 Data validation
- 1996 Release of Phase 2 Reports - 2000

Hudson River PCBs Reassessment Reports

Phase 1 Report

Phase 2 Reports (Remedial Investigation)

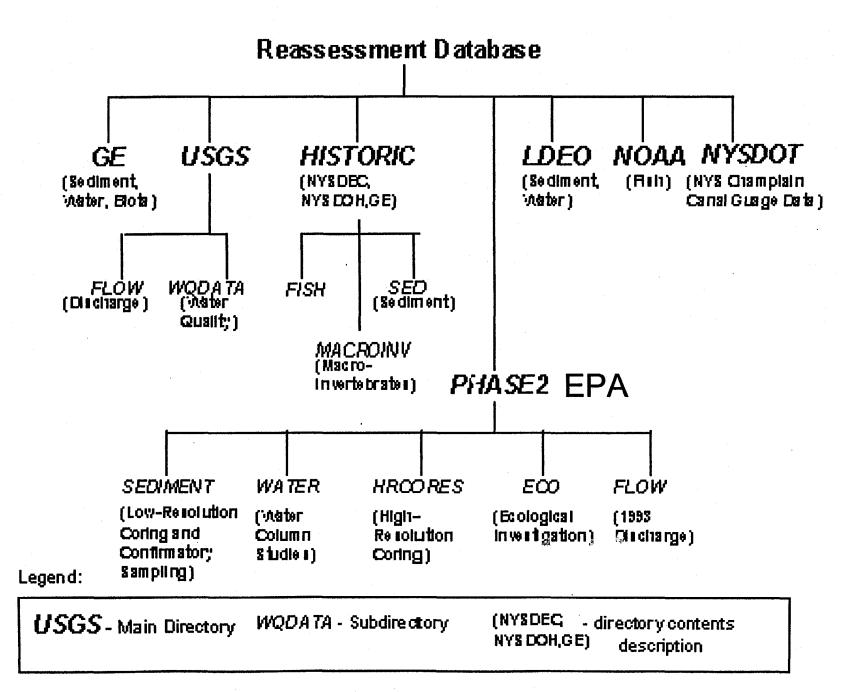
- 1. Database Report
- 2. Preliminary Model Calibration Report
- 3. Data Evaluation and Interpretation Report
- 3A. Low Resolution Sediment Coring Report
- 4. Baseline Modeling Report
- 5. Ecological Risk Assessment
- 6. Human Health Risk Assessment

Phase 3 Report (Feasibility Study)

Aug 1991

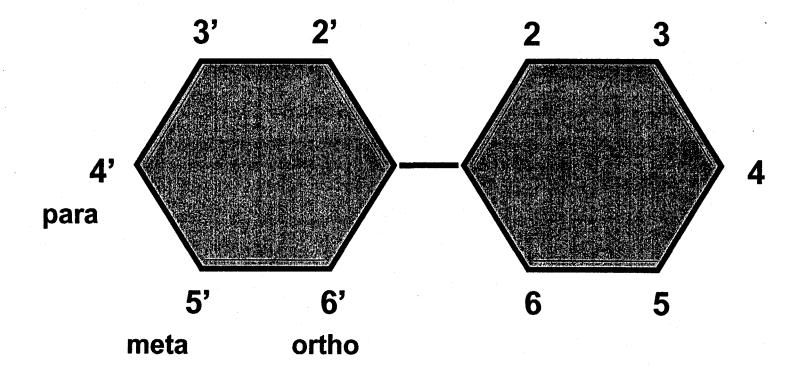
Nov 1995 Oct 1996 Feb 1997 July 1998 May 1999 Aug 1999 Aug 1999

Dec 2000



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Polychlorinated Biphenyl



209 congeners

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Tri+ PCBs

• Sum of congeners with three or more chlorines per molecule

• Provides a consistent basis for the comparison of various analytical techniques for the entire historic record

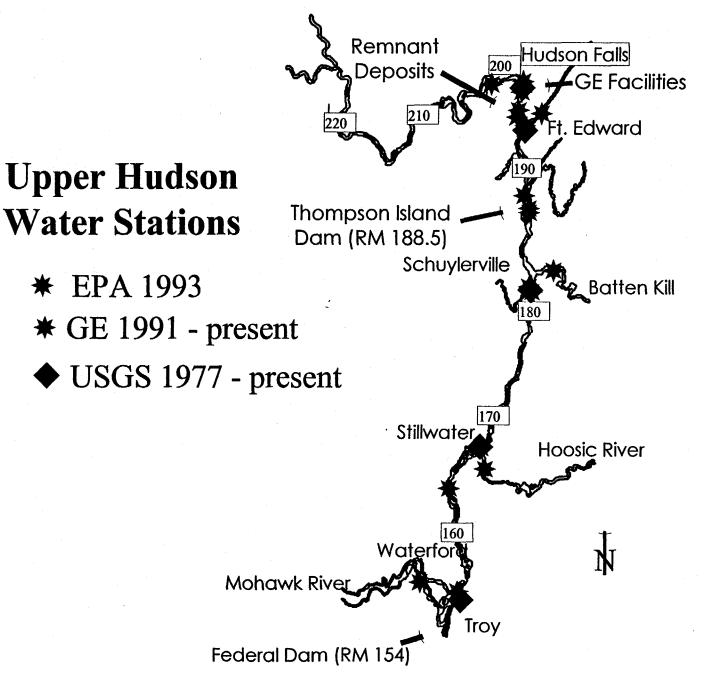
EPA Phase 2 Sampling Programs

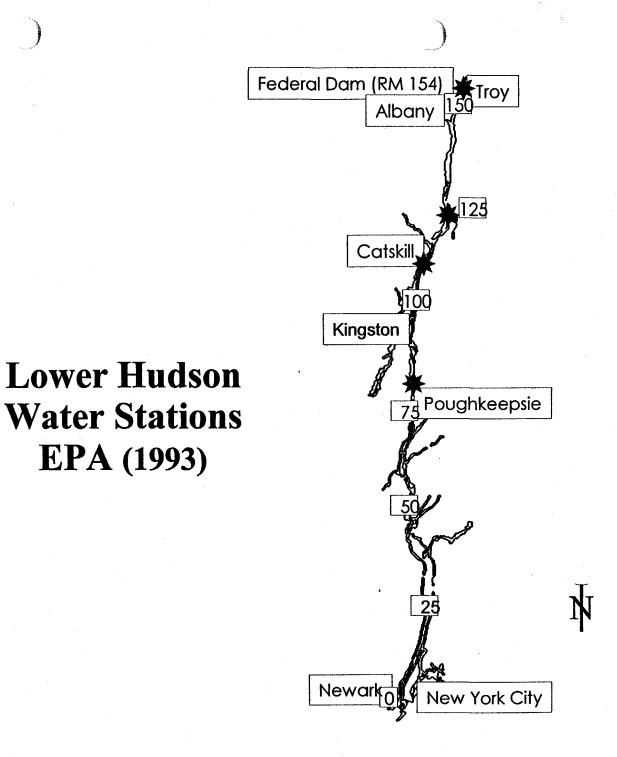
- Water-Column Sampling
- Sediment Sampling

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- Geophysical Investigation
- Ecological Investigations





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High Resolution Sediment Investigation

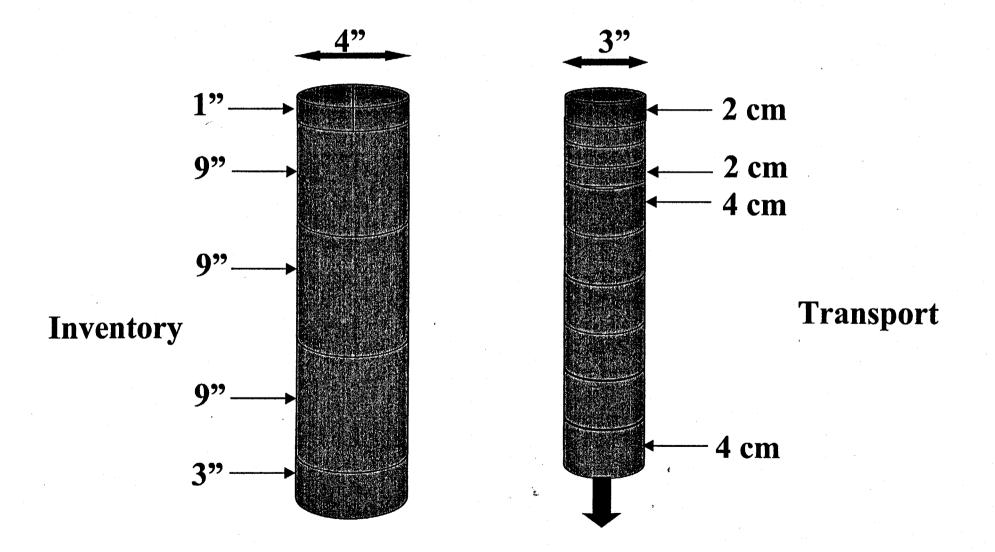
- High resolution sediment cores were obtained from 28 locations from the Upper and Lower Hudson
- Sediment cores were sliced into thin layers to examine historical PCB transport as recorded by the sediments

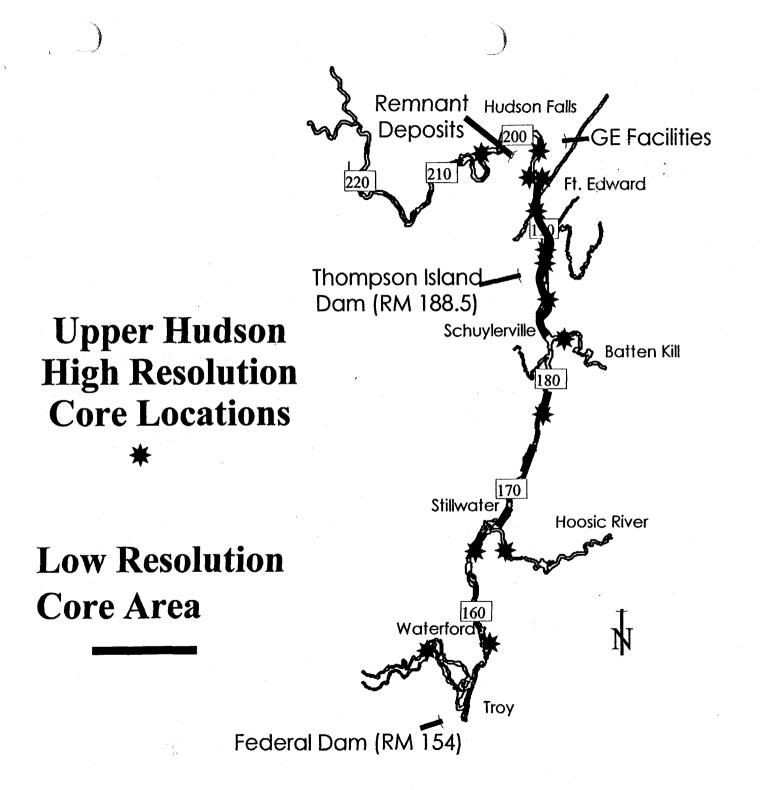
Low Resolution Sediment Coring Program

• Obtain new sediment PCB inventories to compare with 1984 estimates at selected locations in the TI Pool.

• Refine PCB mass estimates at selected hot spots below the TI Dam to compare with 1976 estimates.

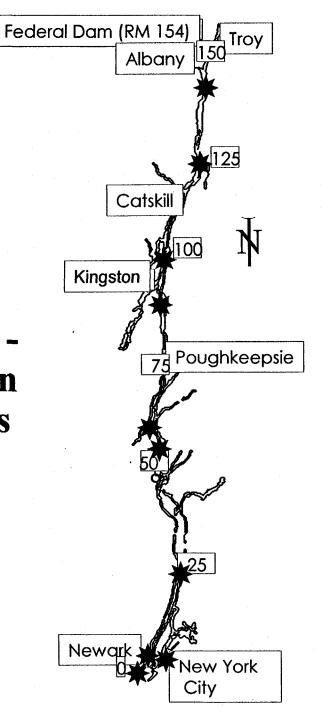
Low Resolution v. High Resolution





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Lower Hudson -High Resolution Core Locations



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Geophysical Investigation

- Acoustic signals provide information on sediment texture, bathymetry and layering
 - Side-scan sonar images provide "photographs" of the river bottom
- Confirmatory samples provide confirmation of the sediment classes identified via acoustic signals

Geophysical Survey Area

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210

220

200

180

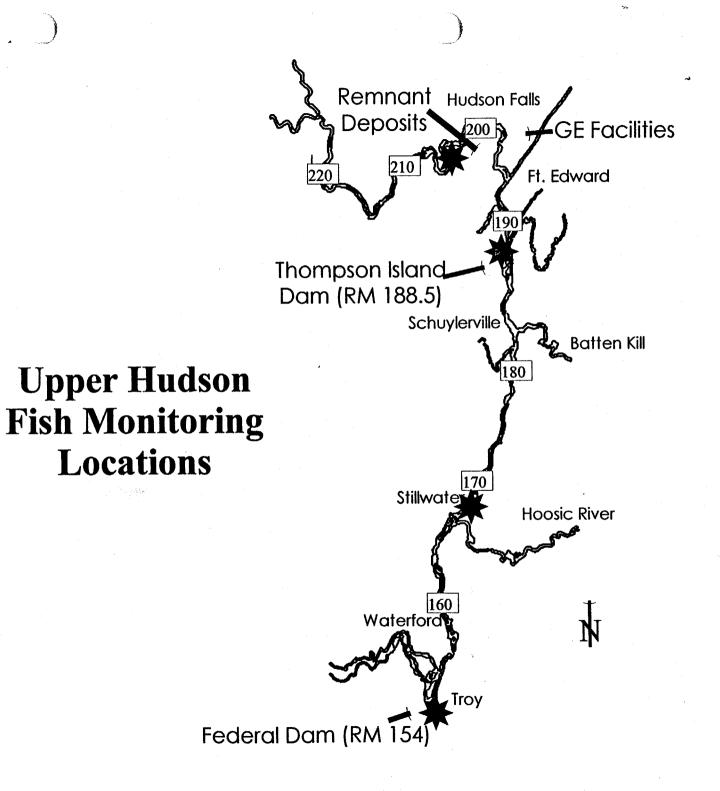
170 (1997) (19977) (1997) (1997) (1997) (1997) (1997) (1997) (1997) (1997) (199

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Ecological Investigations

- EPA Phase 2 (1993) Sediment sampling Benthic invertebrates Fish
- NYSDEC Fish Monitoring
- NOAA/NYSDEC Fish (1993 and 1995)
- USF&W Tree Swallow Study
- NYSDOH Multiplate Sampling





Summary

Upper Hudson River

Thompson Island Pool

- Upper 6 miles
- 1 dam
- 40% of PCB mass
- Higher sediment concentrations

Reaches Below TI Dam

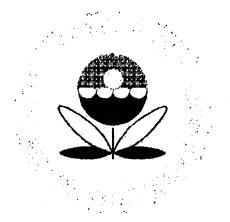
- Lower 34 miles
- 6 dams
- 60% of PCB mass
- Lower sediment concentrations

Lower Hudson River

153 miles, no dams, tidal, large PCB mass inventory at low concentrations

Hudson River PCBs Reassessment

Findings from Previous Reports



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Geochemistry

Data Evaluation and Interpretation Report (DEIR) Low Resolution Sediment Coring Report (LRC)

- water-column transport
- dechlorination
- burial
- sediment inventory

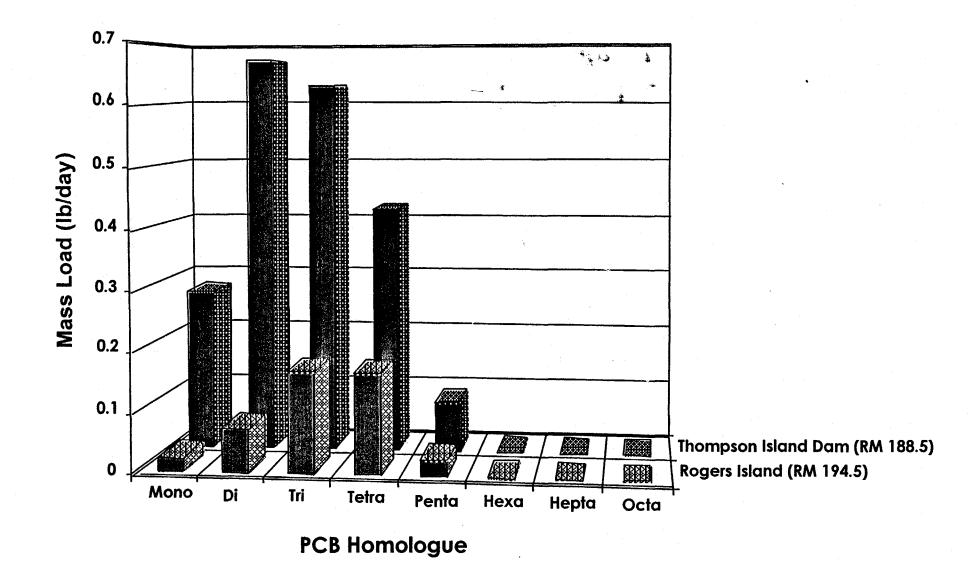
Water-Column Transport

• The increased PCB load across the Thompson Island Pool (TIP) has a readily identifiable homologue pattern which originates from the sediments within the pool.

• The Thompson Island Pool load dominates the watercolumn load in the freshwater Hudson during low-flow conditions (10 months of the year).

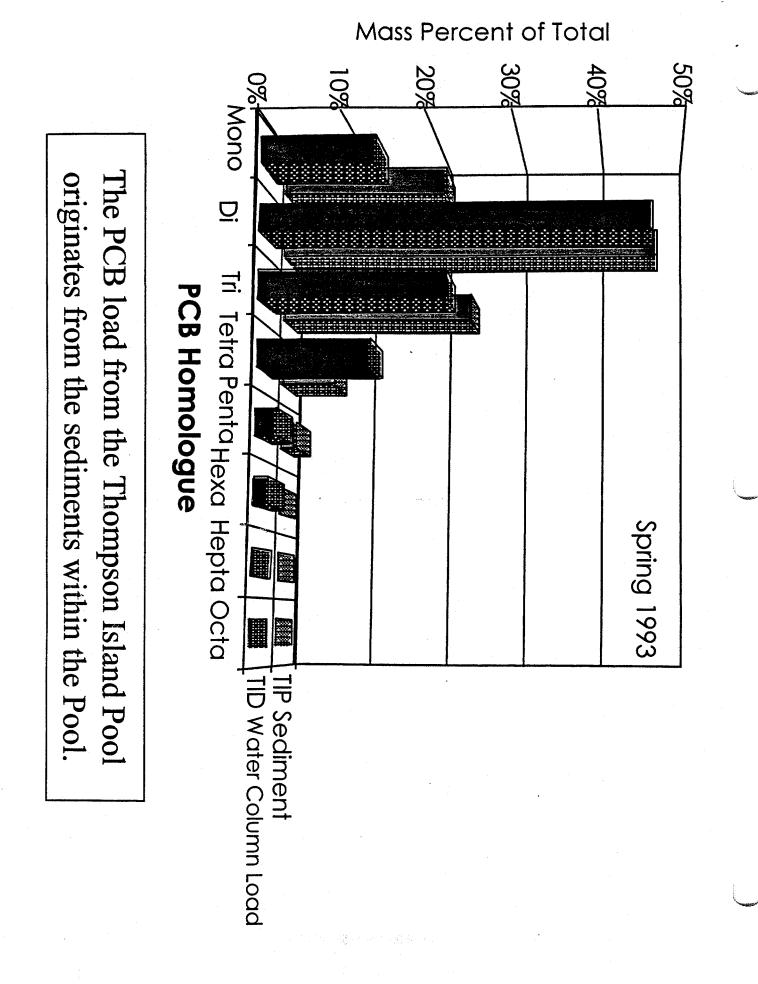
> The Thompson Island Pool sediments are a major source of PCBs to the freshwater Hudson.





Phase 2 Mean Summer Water Column PCB Loads (1993)

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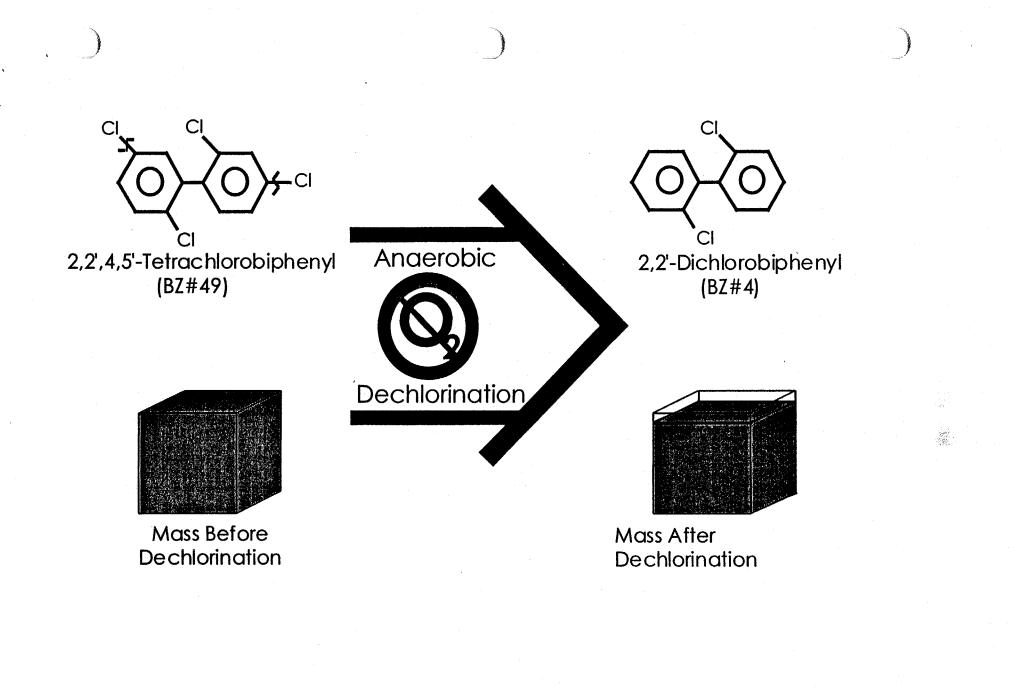


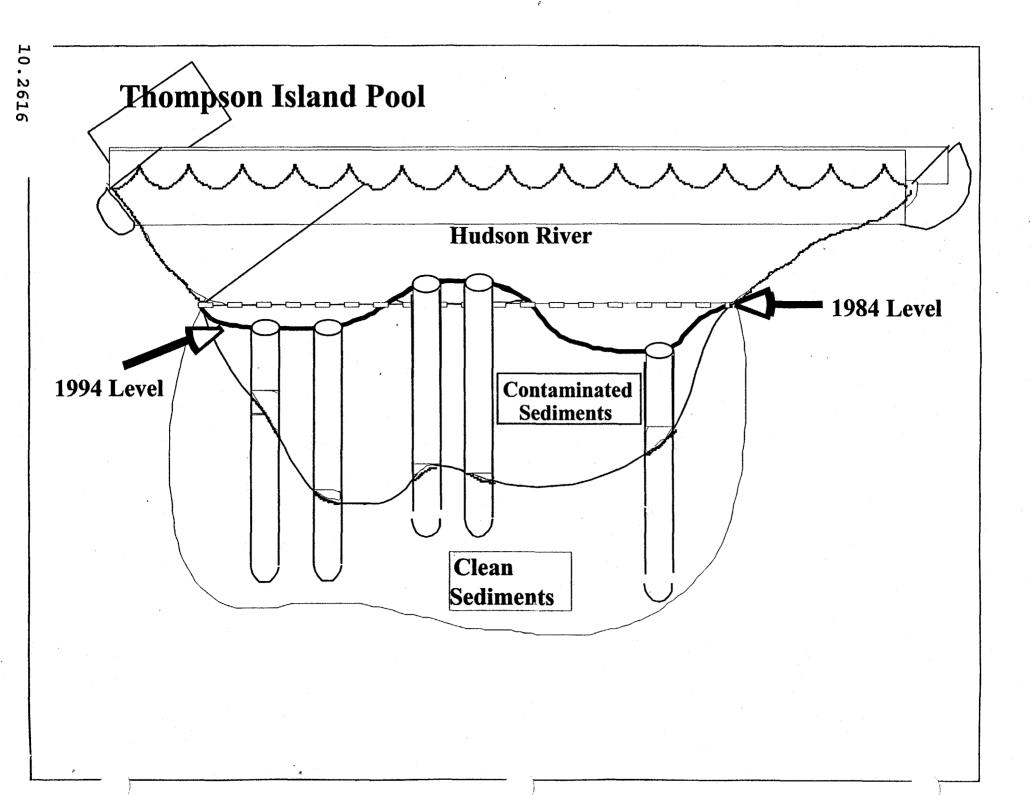
Dechlorination

• The extent of dechlorination is limited in the sediments, resulting in probably less than 10 percent mass loss from the original concentrations.

- Extent of dechlorination controlled by concentration, not time.
- Dechlorination occurs relatively quickly (several years), then rate becomes negligible.
- Even with "extensive" dechlorination, fish are still bioaccumulating Aroclor 1248-like PCBs (with 3, 4 and 5 chlorine atoms).

Sediment inventories will not be naturally "remediated" via dechlorination.





Sediment Inventory of PCBs

• From 1984 to 1994, there has been a statistically significant loss of PCB inventory (between 4 and 59 percent) from highly-contaminated sediments in the Thompson Island Pool (>10 g/m²).

PCBs in the most highly contaminated areas are being redistributed within the river.

,45% (+/-) ~43% (4 - 59%) ~5% 55% (+/-) 1994 Vaiteit 1984 TWENT (O) P())

Modeling Findings

• Revised Baseline Modeling Report (January 2000)

- Supercedes Baseline Modeling Report (May 1999)
- Responsiveness Summary to Baseline Modeling Report issued February 2000
- Peer Review underway--to be completed March 28

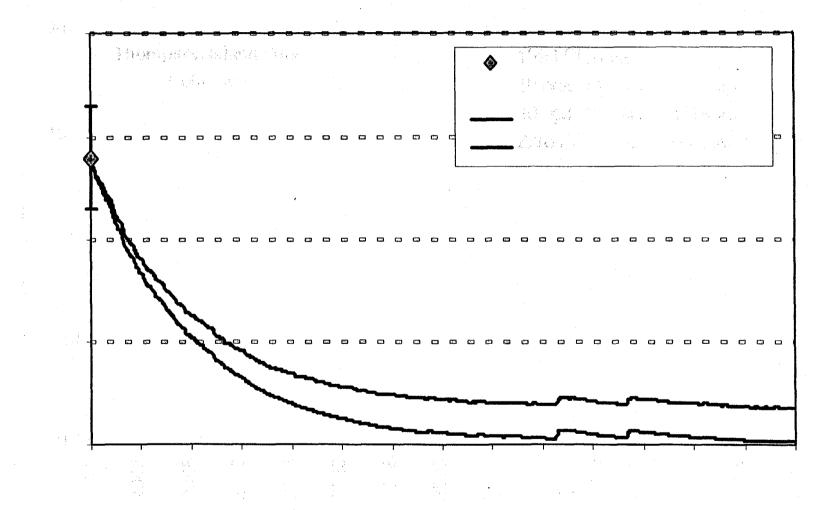
Modeling - Source Contribution

For the first two to three decades of the model forecast, depending on location, the in-place PCB (Tri+) reservoir in the sediments and sediment-water transfer processes control responses of surface sediment concentrations.

PCB (Tri+) loads from upstream of the model boundary at Fort Edward (*e.g.*, GE Hudson Falls Plant site) control the long-term responses of PCB (Tri+) concentrations in the water column and surface sediments, and accordingly, body burdens in fish.

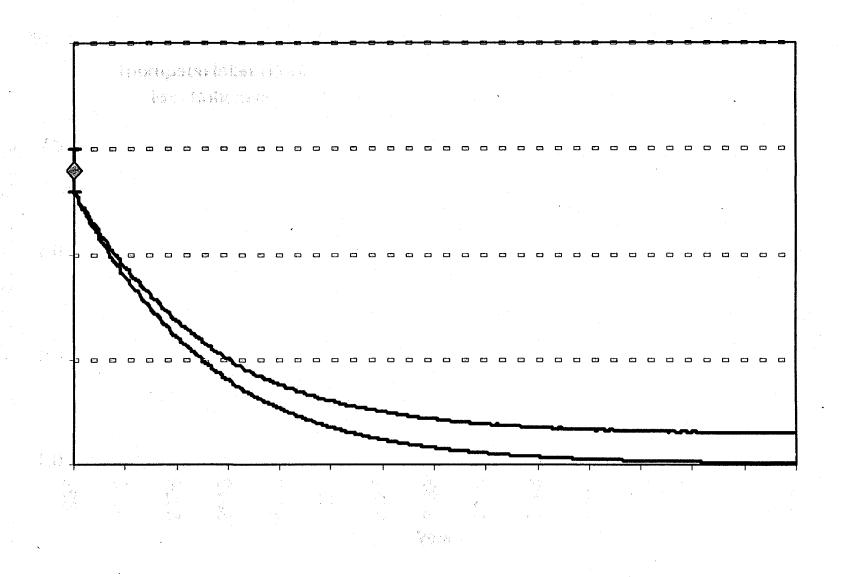
> Upstream loads control the longterm rate of recovery in the river.

Forecast Surface Sediment Tri+ Concentrations for Thompson Island Pool (Cohesive)

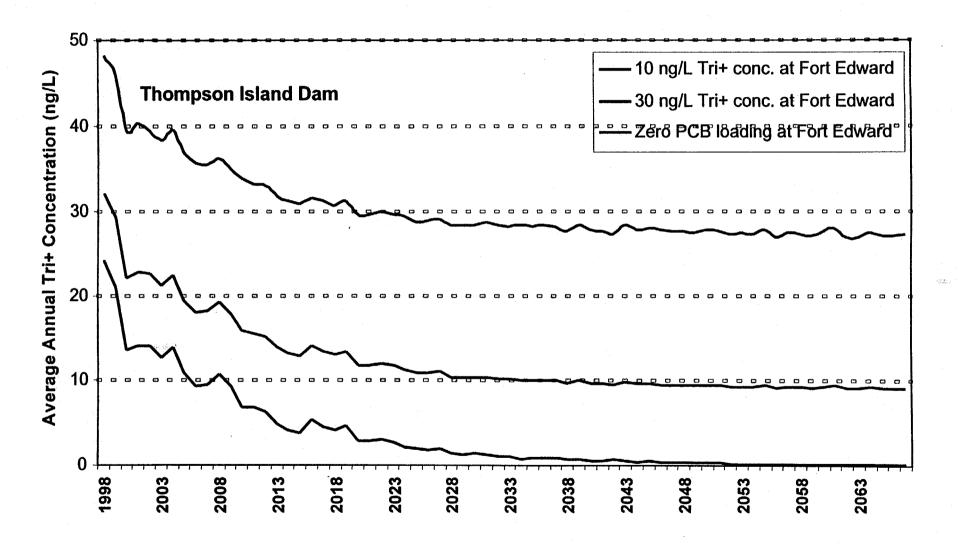


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Forecast Surface Sediment Tri+ Concentrations for Thompson Island Pool (non-cohesive)



Forecast Annual Average Water-Column Tri+ Concentrations at Thompson Island Dam



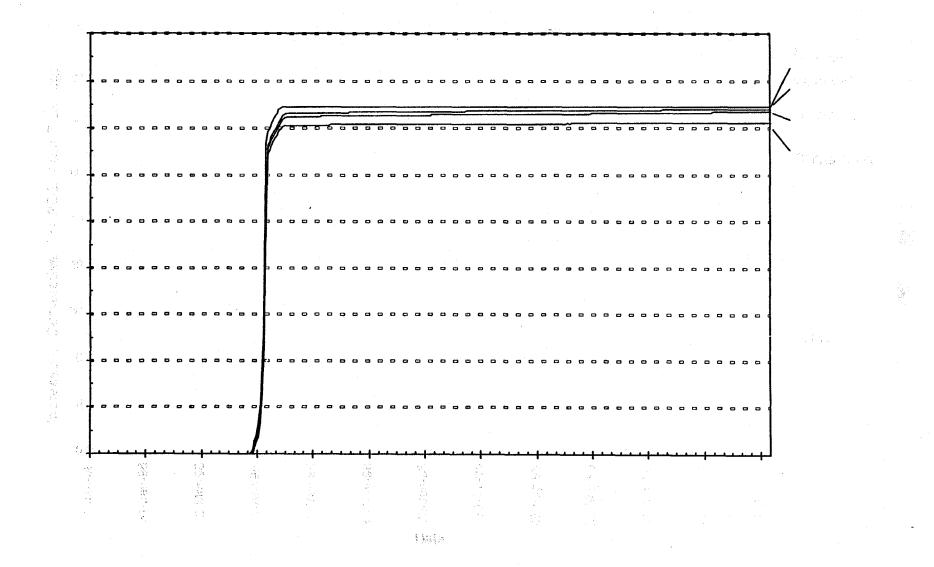
Modeling - Major Floods

A 100-year flood would not yield substantial impacts on the PCB levels in the Upper Hudson River.

- 60 lbs of PCBs lost from Thompson Island Pool
- Impact of flood on water-column PCB levels is minimal after one year.

100-year flood scenario not a critical factor.

Cumulative Net Increase in Tri+ Mass Loading Due to 100-Year Peak Flow, Relative to No Action



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Modeling - Burial (Sequestering)

Thompson Island Pool: net deposition of sediment, but losses of PCBs from the sediment

• From 1984 - 1994, the model estimated that ~2000 kg of PCBs (Tri+) were lost from the Thompson Island Pool sediments, while at the same time ~2 cm of net sediment deposition occurred across the pool.

Burial does not isolate PCBs in the sediments.

Modeling - Sediment Erosion

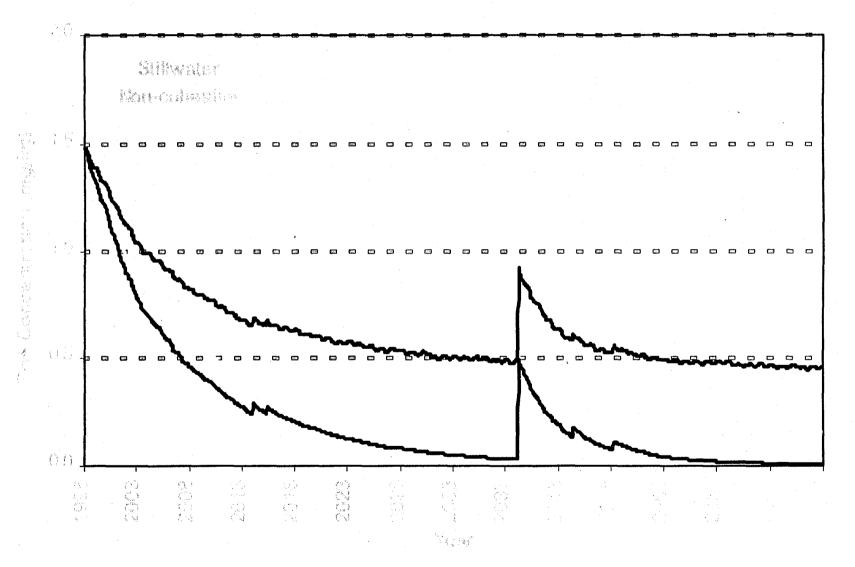
Forecasts show that relatively small annual erosion rates eventually, over an extended length of time, expose PCB concentrations in localized areas that were previously at depth.

• The occurrence, magnitude and timing of these computed increases are dependent on forecast assumptions.

• It is reasonable to assume that localized erosion occurs within the river, but at scales smaller than the spatial scale of the model. Therefore, the model may not accurately reflect the areal extent of such erosion or its timing.

> Localized erosion can occur even if the river reach is net depositional

Forecast Surface Sediment Tri+ Concentrations for Stillwater Reach



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Modeling - Uncertainty

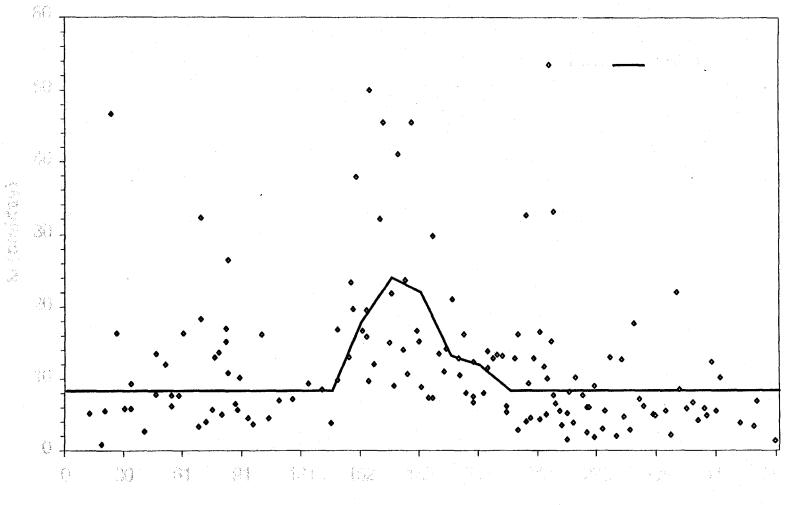
There is a substantial contribution of PCBs from the sediment that is not dependent on the flow of the river.

• The mechanism for non-flow dependent transfer is not fully understood and had to be estimated empirically.

• The empirical PCB sediment-water transfer is approximately 50 percent of the PCB loading to the water column in the Thompson Island Pool.

> Sediment-water exchange of PCBs is not fully understood.

Data Based Sediment-Water Mass Transfer Rate in Thompson Island Pool, 1993-1997



Modeling - Forecasts of Fish Tissue Concentrations

• Small differences in target levels can make decadal changes in the time it takes to reach target levels

• Appropriate target levels will be identified in the Feasibility Study

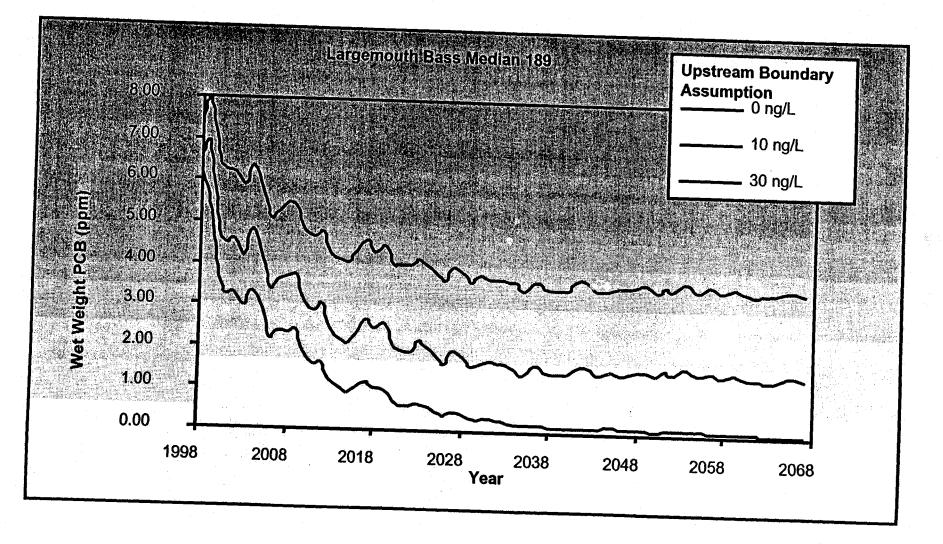
Time to reach target level is dependent on target level selected.

Relative Importance of Sediment and Water

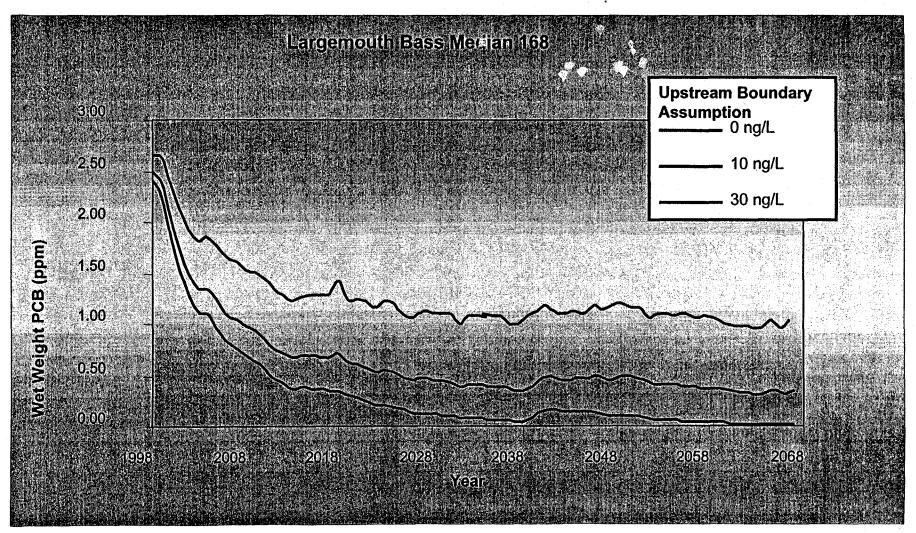
Brown	Bullhead	Largemouth Bass	Pumpkinseed
Elasticities Dissolved Water (ng/l)	0.05	0.27	0.77
Sediment (mg/kg)	0.95	0.73	0.23

(Coefficients obtained using average-based steady-state model results in linear regression)

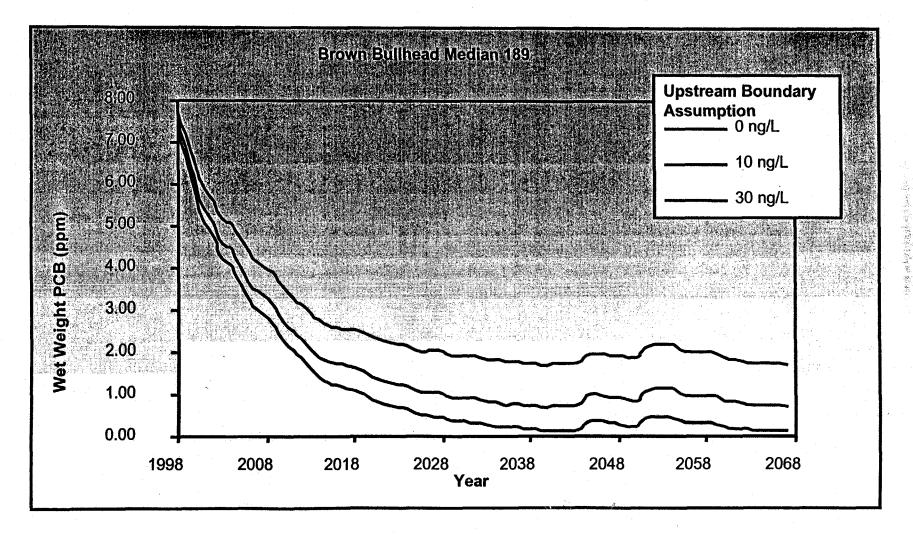
FISHRAND Forecasts 1998 - 2067 for RM 189 (TIP)



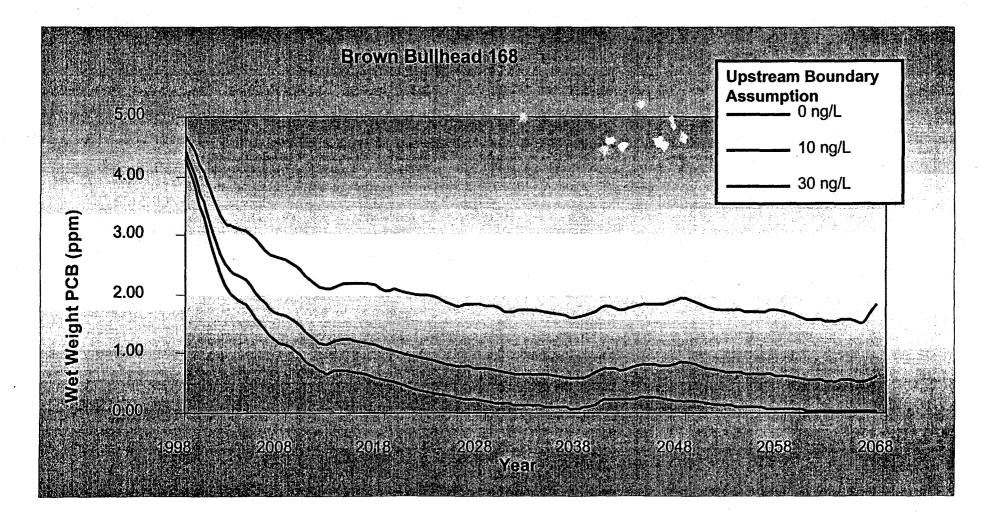
FISHRAND Forecasts 1998 - 2067 for RM 168 (Stillwater)



FISHRAND Forecasts 1998 - 2067 for RM 189 (TIP)



FISHRAND Forecasts 1998 - 2067 for RM 168 (Stillwater)

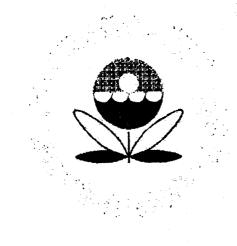


Summary of Findings

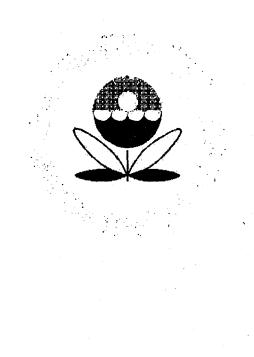
- TIP sediment is the major source of PCBs to the water column
- Dechlorination is not sufficient
- Burial does not isolate PCBs in sediment
- If unabated, PCB loads from upstream of the Thompson Island Pool control the long-term response of the system

Hudson River PCBs Reassessment

Human Health Risk Assessment Upper Hudson - August 1999 Mid-Hudson - December 1999

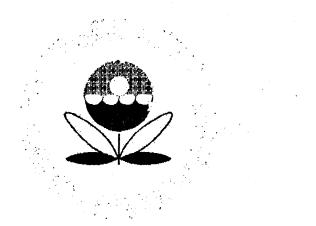


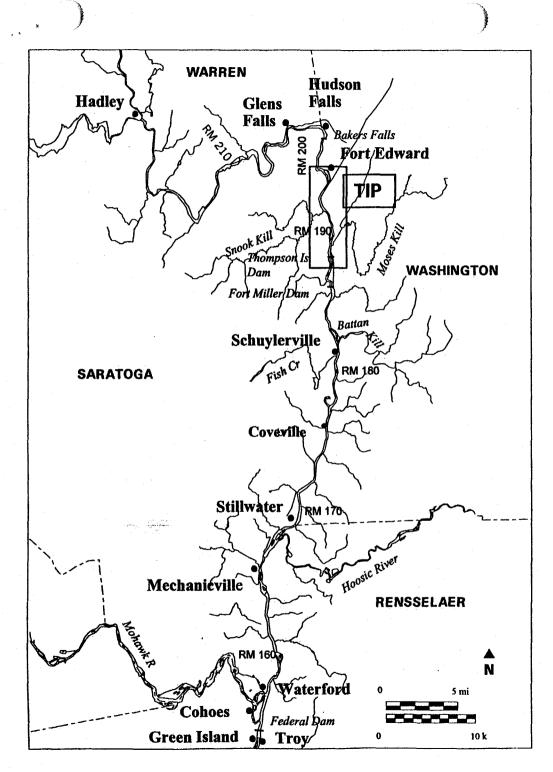
Hudson River PCBs Reassessment Ecological Risk Assessment Upper Hudson - August 1999 Lower Hudson Future - December 1999



Hudson River PCBs Reassessment

Phase 3 Report - Feasibility Study





Purpose of FS

Evaluate options to address the PCB-contaminated sediments in the Upper Hudson River to protect human health and the environment.

• SOW 9/98

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Remedial Action Objectives

Developed as part of Feasibility Study Specify:

- Contaminants (PCBs) and media of interest
- Exposure pathways (*e.g.*, consumption of fish)
- Preliminary remediation goals (e.g., target conc. in fish)

Permits a range of alternatives to be developed

General Response Actions

• No-action

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- Monitored natural attenuation
- Containment (capping)
- In-situ treatment
- Dredging (+/- treatment) and disposal

No-Action

- required by law
- provides basis for comparison of alternatives
- establishes baseline condition

No need for remediation Monitoring is allowed

Monitored Natural Attenuation

- baseline condition presents risk or exceeds applicable standards
- expect to achieve remediation goals in reasonable time frame compared to active alternatives
- may include institutional controls
- may be used in conjunction with other alternatives

No active remediation Monitoring is necessary

NCP Nine Criteria

Threshold Factors

1) Overall Protection of Human Health and Environment

2) Compliance with Other Environmental Laws

Primary Balancing Factors
3) Long-term Effectiveness and Permanence
4) Reduction of Toxicity, Mobility or Volume
5) Short-term Effectiveness
6) Implementability
7) Cost

Modifying Criteria8) State Acceptance9) Community Acceptance

Proposed Plan - Record of Decision

- Proposed Plan identifies preferred alternative
 - Public comment (assess community acceptance)
- Record of Decision

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Responsiveness Summary

Hudson River PCBs Reassessment



www.epa.gov/hudson

Examination of Exposure Pathways Based on Congener Patterns

Relationships Among Fish Body Burdens and Exposure Media

Edward A. Garvey, Ph.D.

USEPA

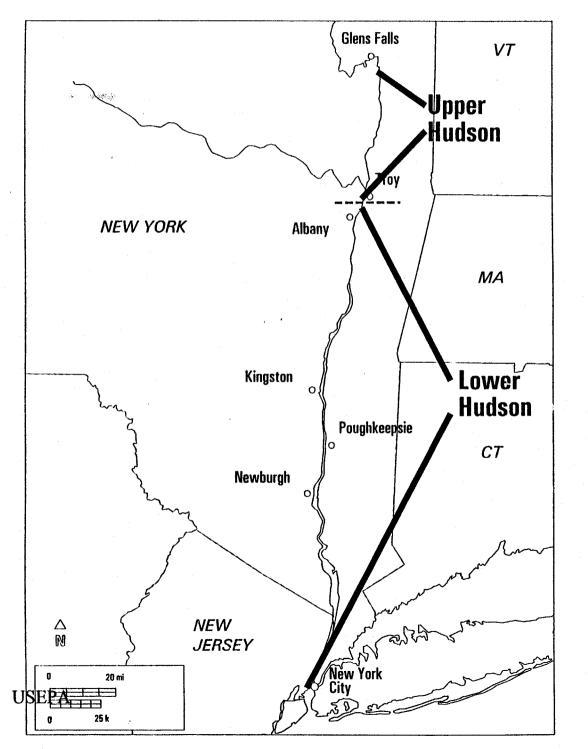
TAMS/MCA

Outline of Presentation

- Sampling Program
- Examination of Homologue Patterns in Biota
- Comparison Among Stations and Species

TAMS/MCA

- Initial Principal Components Analysis
- Selected Congener Ratios
- Summary



Scope of Ecological Risk Assessment

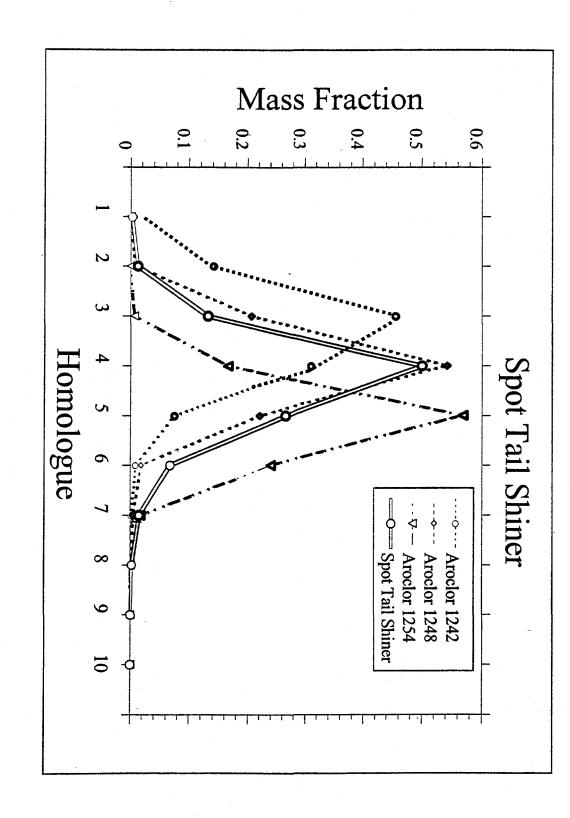
TAMS/MCA

Ecological Sampling Program

- 19 ecological sampling stations throughout Hudson
- Co-located samples
 - Sediments (0-5 cm)
 - Benthic Invertebrates
 - Fish

- Coincident water
 column sampling (Aug & Sept 93)
- High resolution cores
 - Spanning 200 river miles
- Low resolution cores
 RM 194 to 168 only



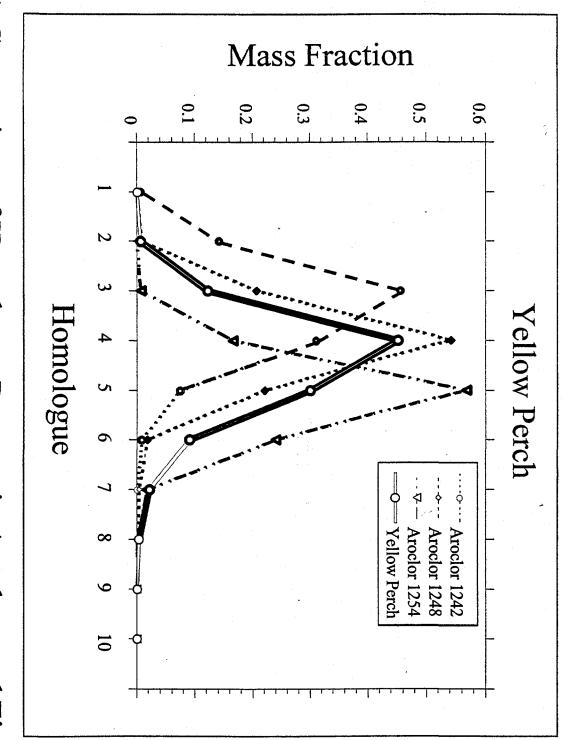


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TAMS/MCA

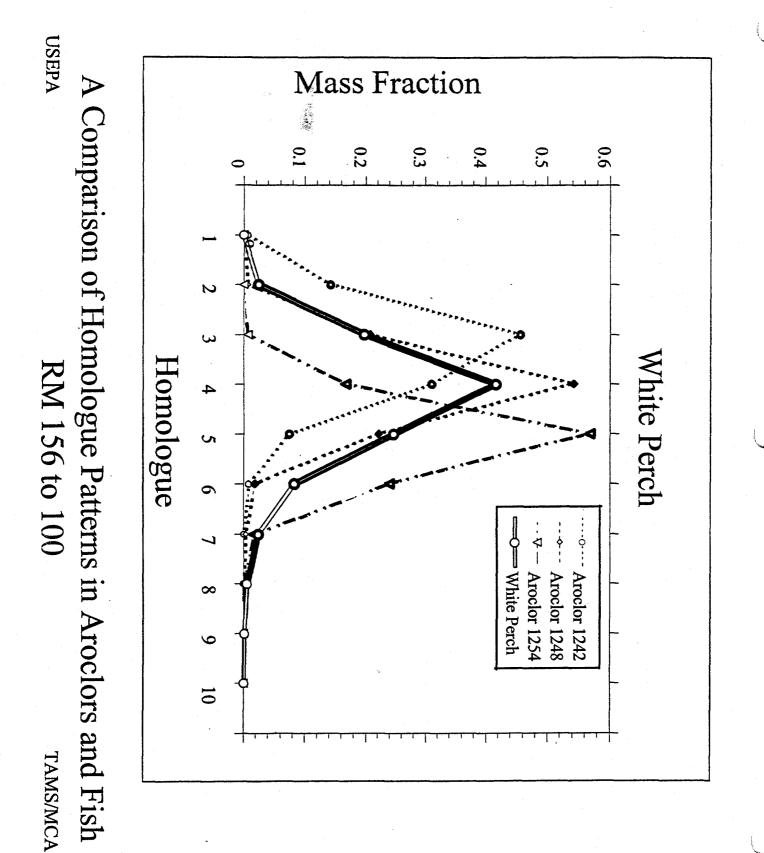
A Comparison of Homologue Patterns in Aroclors and Fish RM 175 to 156

USEPA



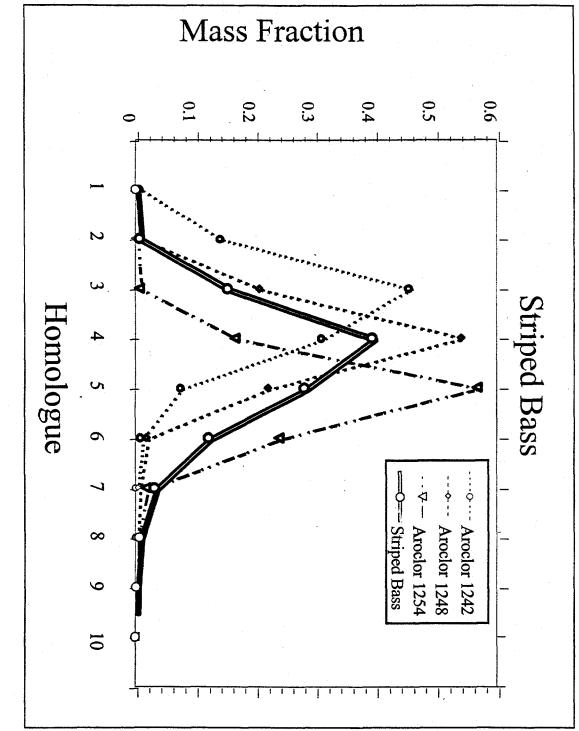
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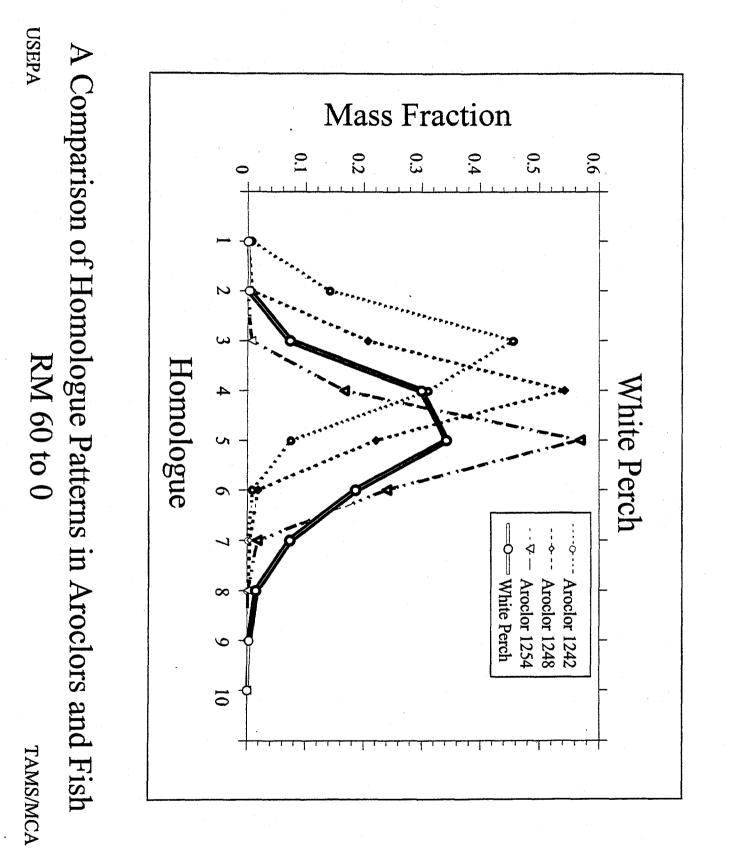
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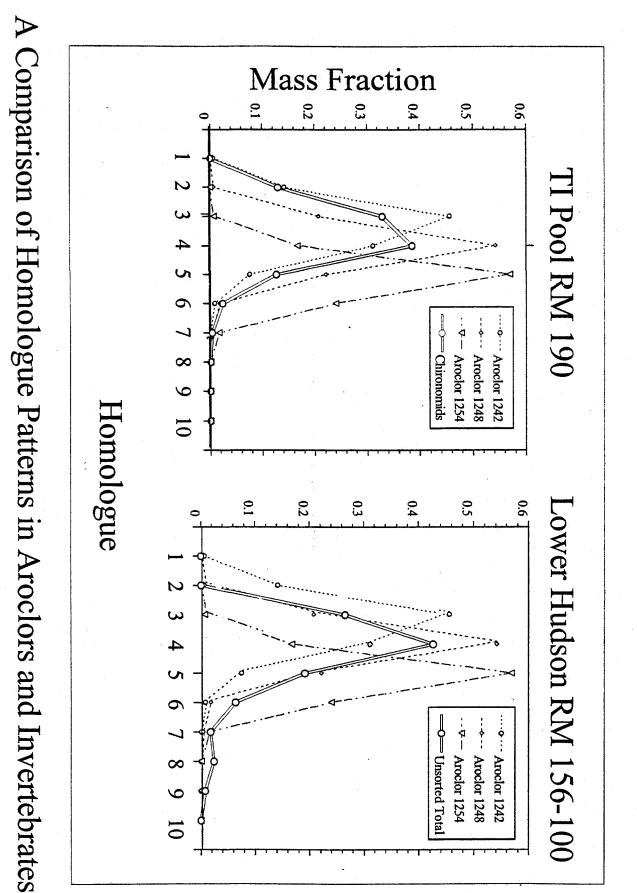




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USEPA

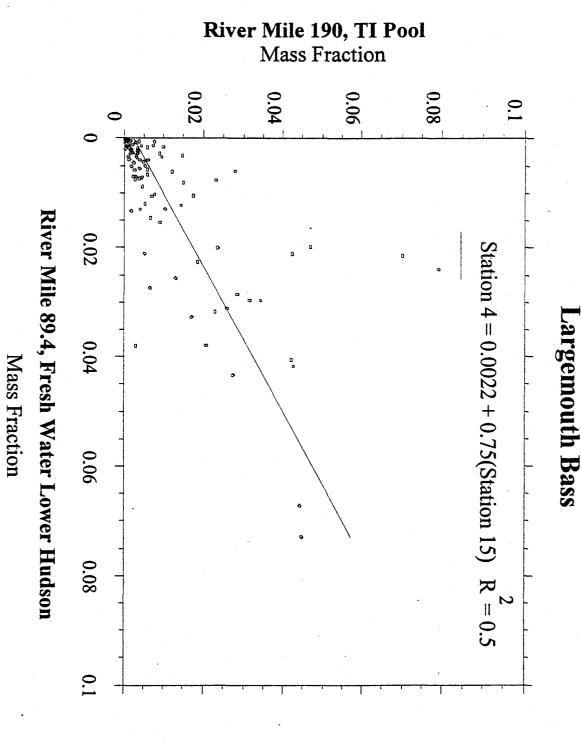
TAMS/MCA



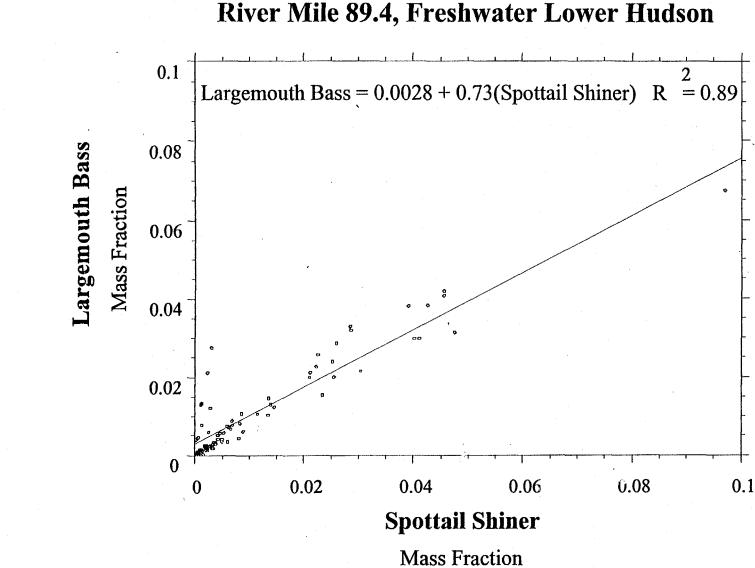
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TAMS/MCA





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Comparisons of Congener Mass Fraction Between Species USEPA TAMS/MCA

Homologue Patterns

- Patterns show dominance by tetrachloro homologue in the freshwater Hudson
- Patterns resemble Aroclor 1248
- Pattern below salt front shows impact of NYC metro sources

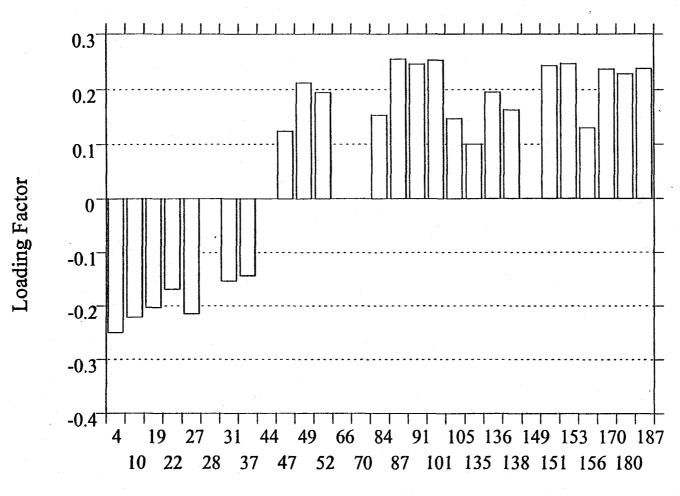
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Principal Components Analyses

- Three separate analyses performed
- Initial (primary) analysis based on 29 congeners selected via optimization
- Analysis shows ability to separate data by media and location
- Principal components are easily interpreted from geochemical perspective

TAMS/MCA

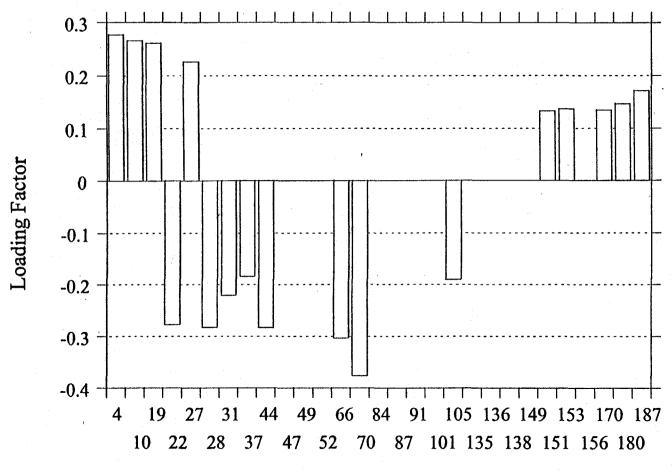




PCB Congener

Congener Loadings for Principal Components 1

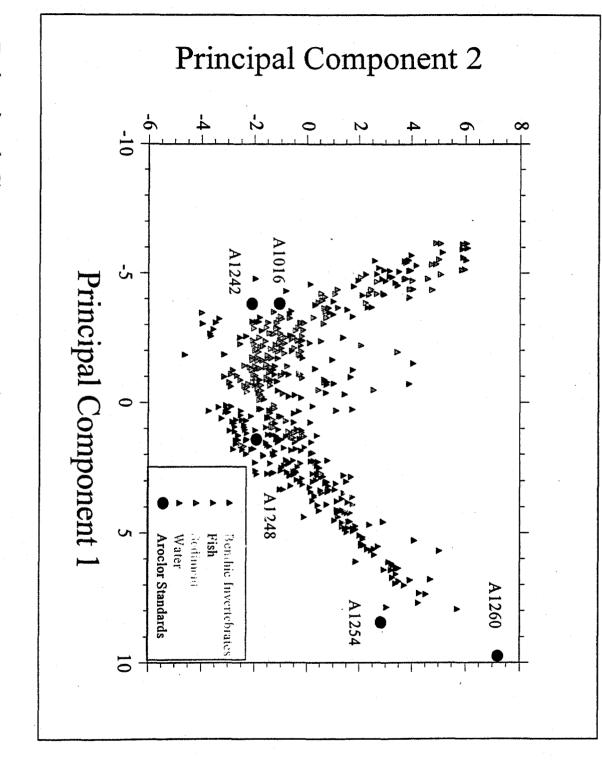
1993 USEPA and NOAA Data for Sediments, Water, Fish and Benthic Invertebrates USEPA TAMS/MCA **Loadings on Principal Component 2**



PCB Congener

Congener Loadings for Principal Components 2

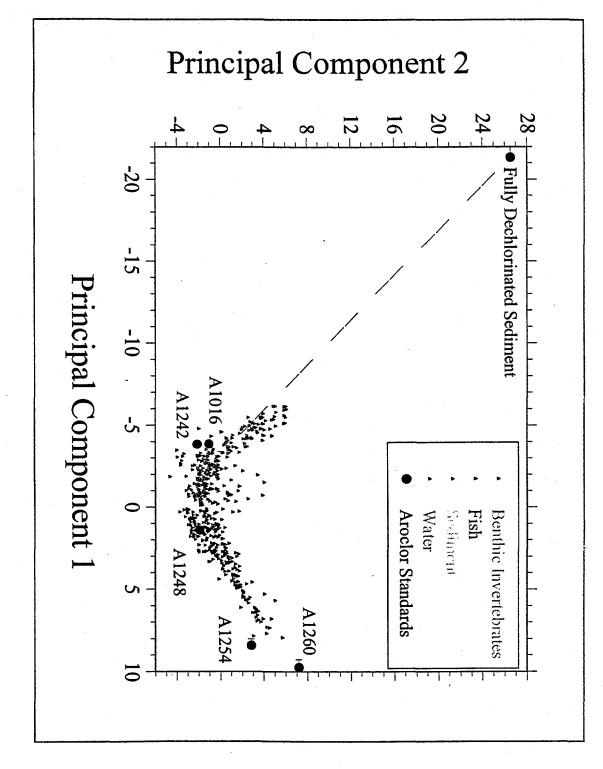
1993 USEPA and NOAA Data for Sediments, Water, Fish and Benthic Invertebrates USEPA TAMS/MCA USEPA Relationship Among Mainstern Hudson Samples TAMS/MCA Principal Component Results for Phase 2 Samples



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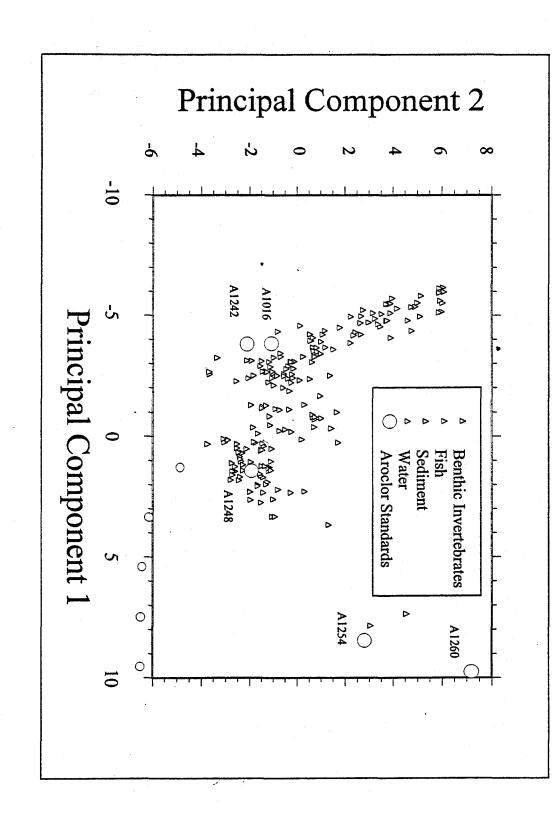


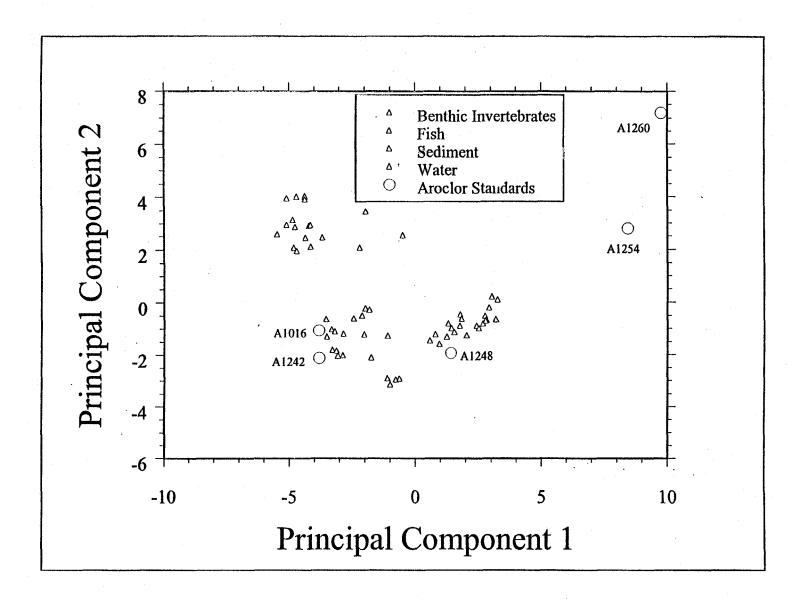




RM 195 to 175

Principal Component Results for Hudson River Media

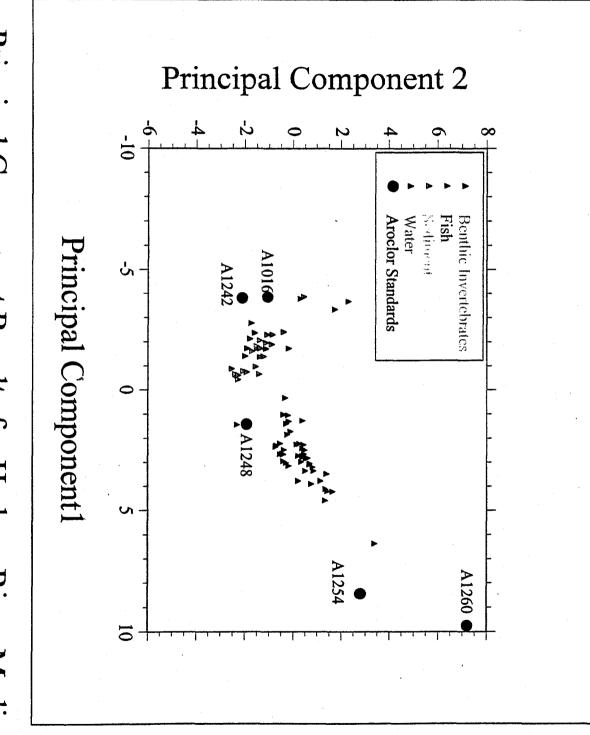




Principal Component Results for Hudson River Media RM 175 to 156

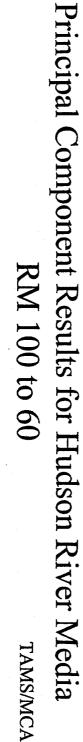


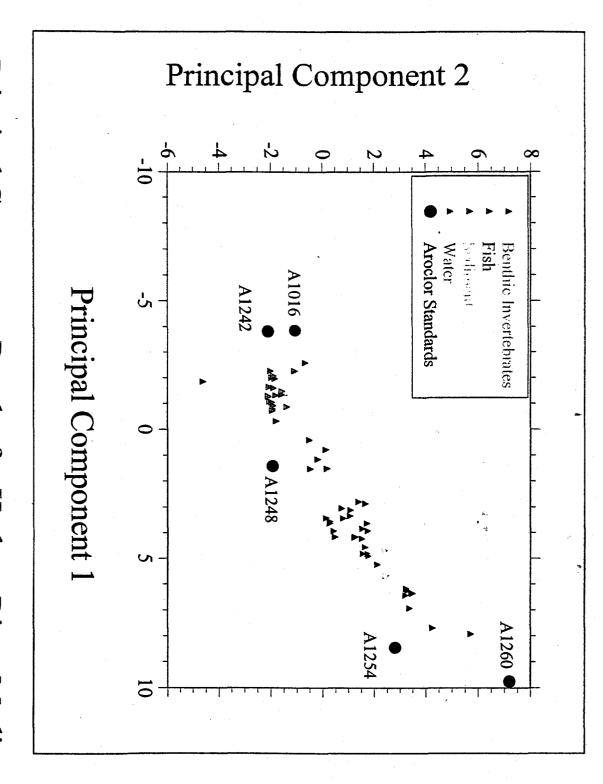
Principal Component Results for Hudson River Media



6992°0T

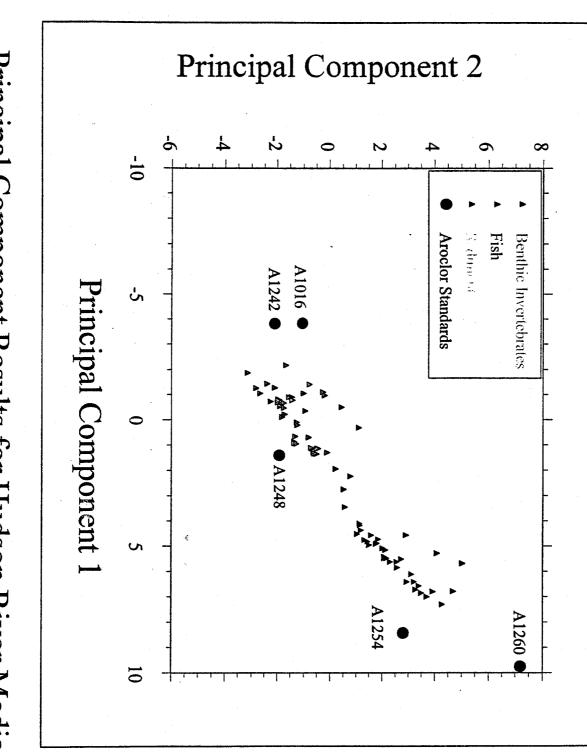
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RM 60 to 0

Principal Component Results for Hudson River Media

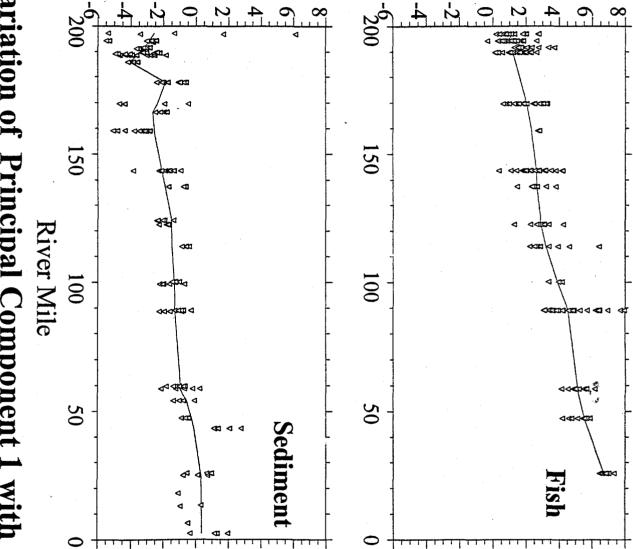




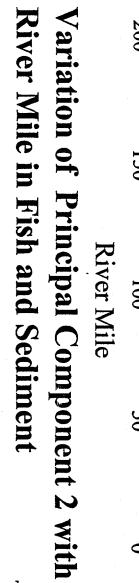


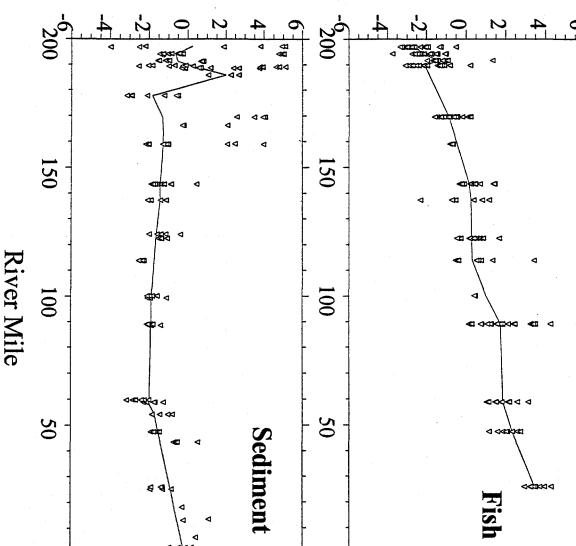
USEPA

Principal Component 1







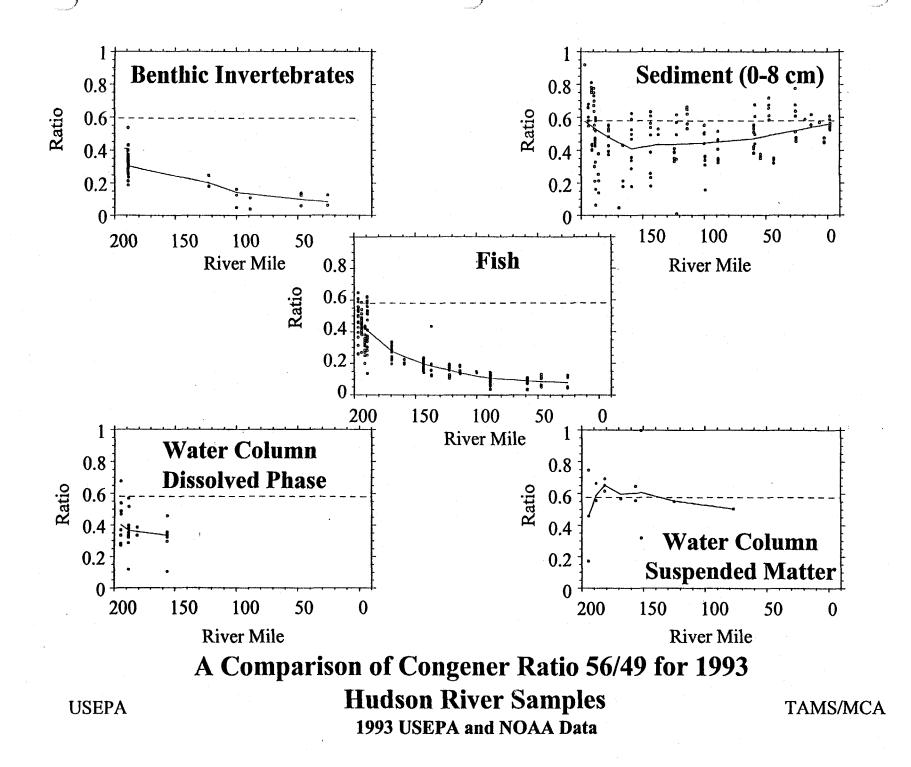


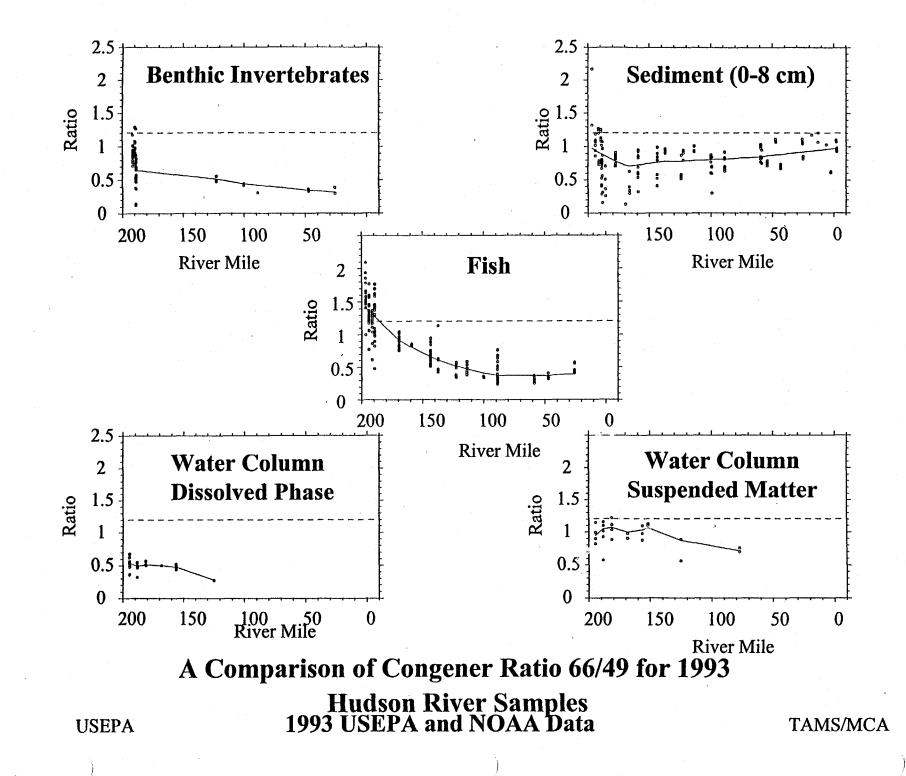
USEPA

Principal Component 2

Examination of Congener Ratios

- Several congener ratios have been proposed as tracers for PCB sources
- Four separate congener ratios were examined in the ERA
- Ratio variations in fish do not follow those in exposure media





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Summary

- Fish body burdens most closely resemble Aroclor 1248 in molecular weight although they are clearly derived from other Aroclors.
- Body burdens for benthic invertebrates are heavier than the sediments to which they are exposed but lighter than the fish that prey on them.
- Fish patterns were more similar within stations than within species, indicating control by local conditions and not trophic level.
- Fish congener patterns gradually shift to heavier congeners downstream of the GE facilities.

Summary (continued)

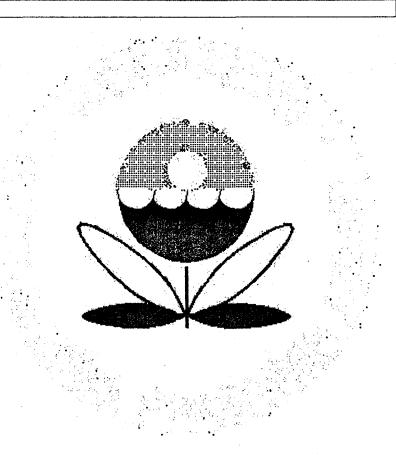
- Individual congener ratios do <u>not</u> permit the tracing of fish body burdens to their sources. This may be due to alteration of the congener mixture by metabolic processes within the fish themselves.
- PCBs in fish do not reflect the congener patterns of the exposure concentrations. Rather, it would appear that processes internal to the fish serve to shift the original pattern toward a more chlorinated mixture.

Hudson River Ecological Risk Assessment

Helen Chernoff, TAMS Consultants, Inc.

Katherine von Stackelberg, Menzie-Cura & Associates, Inc.

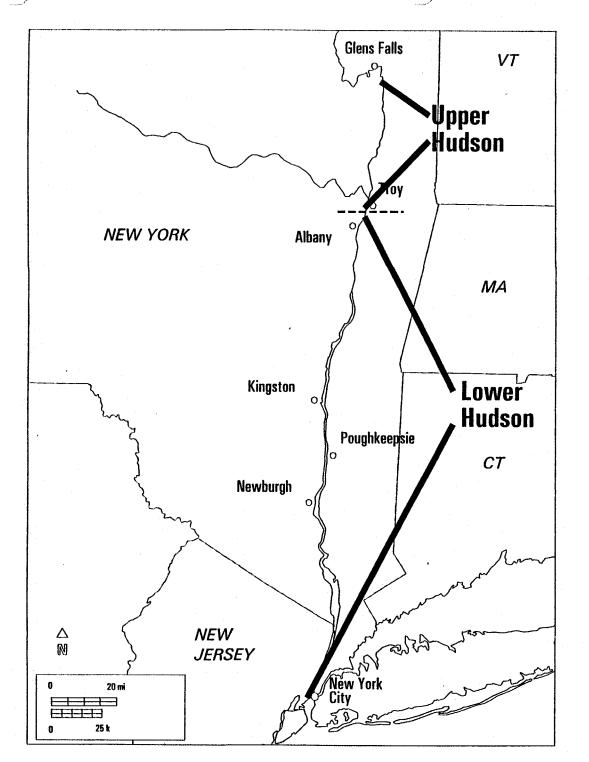
March 23, 2000



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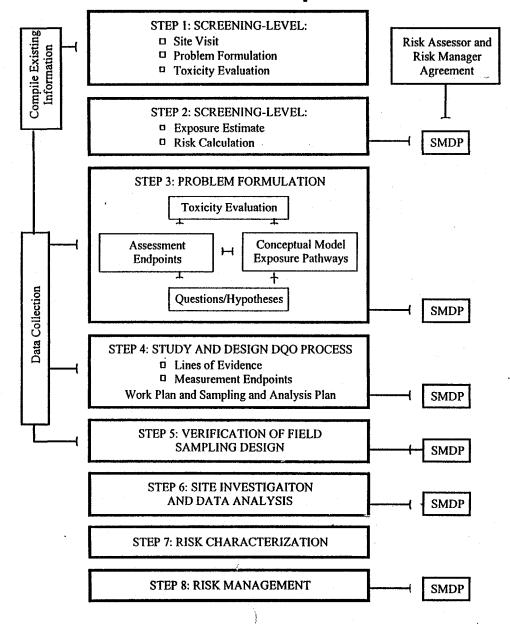
Overview of Presentation

- Walk through steps of ecological risk assessment process
 - ♦ USEPA, ERAGS, 1997
- Conclusions of baseline ecological risk assessment
 - ♦ August, 1999 report
 - March, 2000 Responsiveness Summary



Scope of Ecological Risk Assessment

Eight-Step Ecological Risk Assessment Process for Superfund



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Ecological Risk Assessment Process

Problem Formulation
 Analysis

 Characterization of Exposure
 Characterization of Ecological Effects

 Risk Characterization

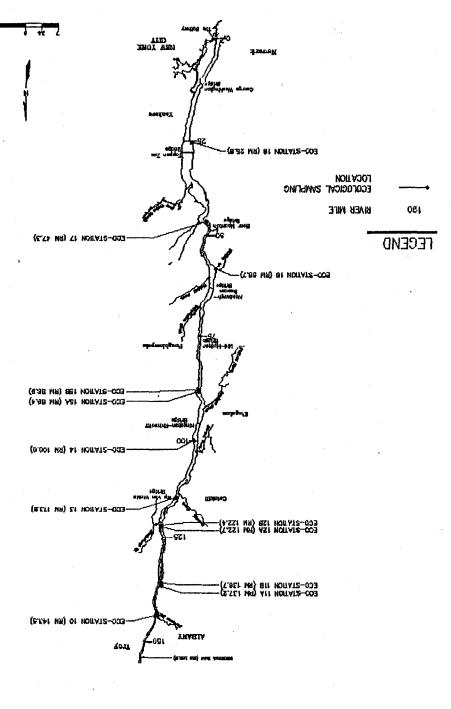
 Uncertainty Analysis

Problem Formulation

Site Characterization Contaminant of Concern (PCBs) Conceptual Model Exposure pathways Assessment Endpoints Measurement Endpoints Receptors of Concern

Site Characterization

Upper Hudson River
 Current (field sampling program: 1993)
 Future (1993 - 2018)
 Lower Hudson River
 Current (field sampling program: 1993)



STIN

Upper Hudson River Ecological Sampling Locations

Contaminant of Concern: PCBs

Total PCBs as Tri+

- Concordance with historical Aroclors
- Tri+ roughly equivalent to total in fish
- Dioxin equivalents (12)
 - ♦ Van den Berg et al., 1998 (WHO)
 - ♦ Data quality issues (BZ#81, BZ#126)
 - ♦ USFWS samples
 - Phase 2 data

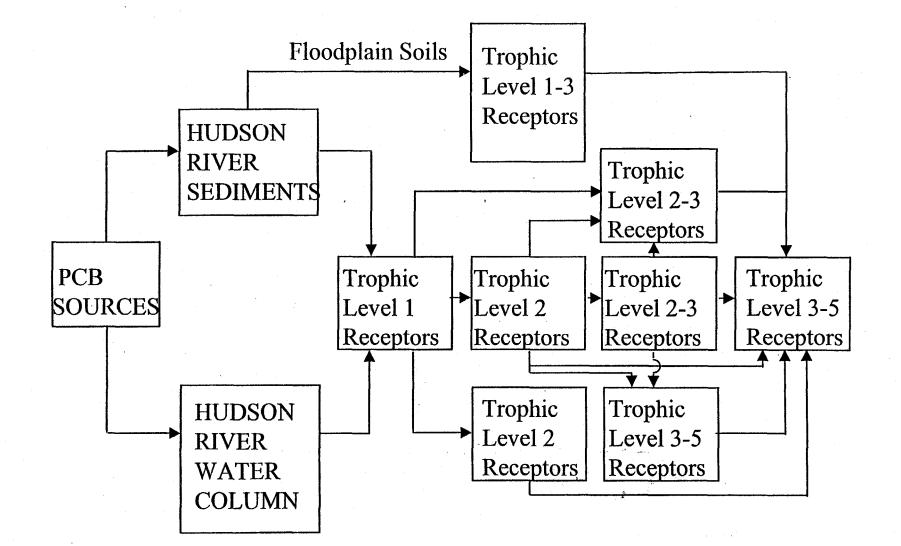
Average Proportion of Fish-Based TEQ Congeners

	BZ#77	BZ#81	BZ#105	BZ#118	BZ#126
Upper River Mean	0.28		0.06	0.11	0.52
Lower River Mean			0.02	0.05	0.85
Whole River Mean	0.15		0.03	0.07	0.71
Egg Mean	0.32	0.11	0.04	0.07	0.40
Chick Mean	0.38	0.13	0.04	0.08	0.33
Odonate Mean	0.34	0.05	0.03	0.05	0.49
Insect Mean	0.34	0.11	0.04	0.05	0.42

Conceptual Model

- Exposure Pathways
 - ▲ Aquatic exposure pathways
 - Terrestrial (nearshore) exposure pathways
- Ecosystems of the Hudson River

Conceptual Model Diagram



Exposure Pathways

- How receptors come into contact with PCBs:
 - Ingestion of water
 - Ingestion of food (e.g., fish, insects)
 - Incidental ingestion of sediment
- Expressed as:
 - Dietary dose (mammal, bird)
 - Egg concentration (bird, fish)
 - Body burden (fish)

Ecosystems of the Hudson River

Upper Hudson River

 Non-tidal freshwater - above Federal Dam (RM 153)

Lower Hudson River

- Tidal freshwater Federal Dam to Newburgh (RM 153 to RM 60)
- Estuarine Newburgh to Manhattan (RM 60 to RM 0)
- Marine New York Harbor and beyond

Assessment Endpoints

- Explicit expression of the environmental value that is to be protected
- Based on:
 - Mechanisms of toxicity
 - Receptor groups that are sensitive or highly exposed to PCBs

Potentially complete exposure pathways

Assessment Endpoints

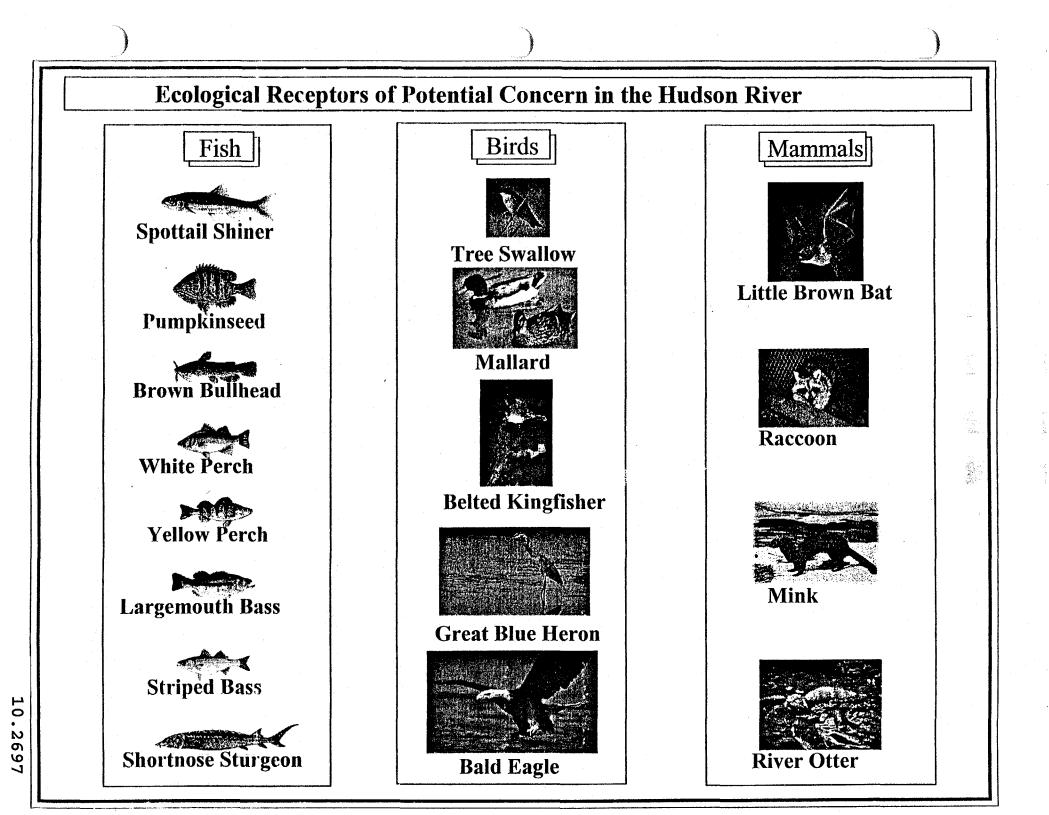
- Benthic community as source of prey
- Protection and maintenance (survival, growth, and reproduction) of populations of:
 - ♦ local fish (forage, omnivorous, and piscivorous)
 - local birds (insectivorous, waterfowl, and piscivorous)
 - local wildlife (insectivorous, omnivorous, piscivorous)
- Protection of threatened and endangered species
- Protection of significant habitats

Measurement Endpoints

- Actual measurements used to evaluate ecological risk (lines of evidence)
 - Benthic community indices
 - Measured and modeled:
 - PCB body burdens in fish (wet weight, lipid-normalized)
 - PCB dietary doses to wildlife
 - PCB concentrations in bird eggs
 - PCB concentrations in sediment and surface water
 - Water Quality Criteria and Sediment Guidelines
 Field observations

Receptors of Concern

- Representative of wildlife species using Hudson River
- Represent different trophic levels, feeding strategies, habitat preferences
- Receptors include:
 - macroinvertebrate communities
 - ♦ fish
 - ♦ birds
 - ♦ mammals



NYS and Federal Threatened and Endangered Species

- Invertebrates Karner blue butterfly
- Fish shortnose sturgeon
- Herpetofauna -northern cricket frog, bog turtle, Blanding's turtle, timber rattlesnake
- Birds peregrine falcon, bald eagle, osprey, northern harrier, red-shouldered hawk
- Mammals Indiana bat, eastern woodrat

Significant Habitats

- 34 Sites Designated as Significant Coastal Fish and Wildlife Habitats
- 5 Additional Sites Containing Important Plant and Animal Communities
- 4 Sites Comprise the Hudson River National Estuarine Research Reserve

Exposure Assessment

Sediment and water concentrations Image: measured: 1993 USEPA dataset ♦ modeled: HUDTOX model Phytoplankton (mallard) ♦ dissolved water * K_{ow} * lipid Invertebrate and fish Image: measured: 1993 USEPA dataset Image: Market M Market Mark

Exposure Models

- Avian receptors
 - ♦ dietary dose
 - 1993 data
 - HUDTOX, FISHRAND models
 - egg concentration (biomagnification factor)
- Mammalian receptors
 - dietary dose

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- 1993 data
- HUDTOX, FISHRAND models

Upper Hudson River Sediment & Water Modeling

HUDTOX model

♦ Tri+ as exposure for fish

♦ Total PCB for:

- comparison to water quality criteria
- comparison to sediment guidelines
- incidental sediment ingestion
- water ingestion

The FISHRAND Model

- Phytoplankton
 - dissolved water * K_{ow} * lipid
- Benthic invertebrates
 - ♦ BSAF
- Fish species
 - ♦ Gobas modeling framework
 - Revised Baseline Modeling Report

Exposure Parameters

 Site-specific data if possible
 US EPA Wildlife Exposure Factors Handbook

Peer-reviewed literature

Sample Receptor Parameters

EXPOSURE PARAMETERS FOR BALD EAGLE

Exposure Parameters

0.00%

Sex (M/F) Age (Adult/Juvenile) Male/Female Body Weight (kg) Total Daily Dietary Ingestion (kg/day wet wt.) Total Daily Dietary Ingestion (kg/day dry wt.) General Dietary Characterization Percent Diet Composition (% wet wt.) Fish (Total Component) Aquatic Invertebrates (Total Component) Non-river Related Diet Sources Water Consumption Rate (L/day) Percent Incidental Sediment Ingestion in Diet

Female Male Adult, Breeding 5.10 3.20 0.65 0.46 Opportunistic Piscivore 100% 0% 0% 0.175 0.129

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Ecological Effects

PCBs shown to exhibit chronic toxicity

- ♦ Reproductive
- ♦ Developmental
- ♦ Neurological
- ♦ Immunological
- Biochemical
- Focus on effects with most direct relevance to population

Effects Assessment

- Toxicity Reference Values (TRVs)
 - Laboratory studies
 - No observed adverse effect levels (NOAELS)
 - Lowest observed adverse effect levels (LOAELS)
 - ♦ Field studies
 - No observed adverse effect levels (NOAELS)
- Uncertainty factor approach
 - Great Lakes
 - Oak Ridge National Laboratories

Procedure to Select TRVs

- Done individually for each receptor
- Compile laboratory studies
 - same family: no uncertainty factor
- Compile field studies
 - In the only used for NOAEL due to co-occurrence of other contaminants
- At most, factor of 10 uncertainty
 subchronic to chronic (avian)
 interspecies (fish, mammal)

Risk Characterization

- Integrates the exposure and effects assessments
- Discussion of uncertainty
- Present risk results

Toxicity Quotient (TQ)

- Comparison of measured and/or modeled doses or concentrations in the receptors of concern to the toxicity reference values
- Toxicity quotients equal to or greater than one (TQ>1) typically considered to indicate potential risk to ecological receptors

Weight of Evidence

Weight of evidence approach

- Multiple measurement endpoints evaluated for each assessment endpoint
- Each measurement endpoint is a line of evidence

Risks to local benthic invertebrate communities were examined using three lines of evidence:

- 1) examination of benthic community structure;
- 2) comparison of measured and modeled water column concentrations of PCBs to criteria; and
- 3) comparisons of measured and modeled sediment concentrations to guidelines.

Risks to local fish populations were examined using five lines of evidence:

- 1) comparison of measured and modeled total PCB fish body burdens to TRVs;
- 2) comparison of measured and modeled TEQ fish body burdens to TRVs;
- 3) comparison of measured and modeled water column concentrations of PCBs to criteria;
- 4) comparisons of measured and modeled sediment concentrations to guidelines; and
- ♦ 5) field-based observations.

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Risks to local bird populations were examined using six lines of evidence:

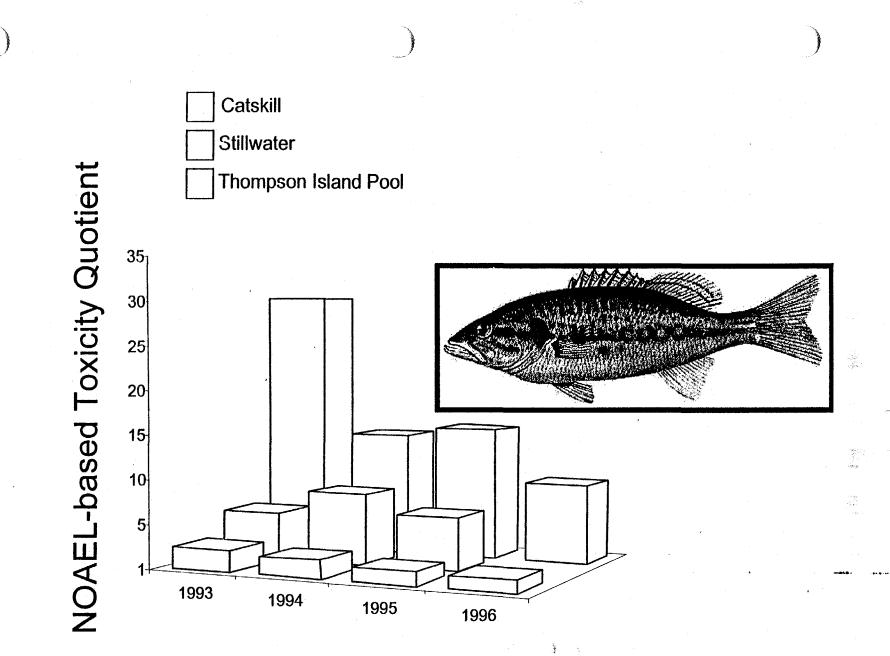
- 1) comparison of measured and modeled total PCB dietary doses to TRVs;
- 2) comparison of measured and modeled TEQ dietary doses to TRVs;
- 3) comparison of measured and modeled total PCB egg concentrations to TRVs;
- 4) comparison of modeled and modeled TEQ egg concentrations to TRVs;
- ♦ 5) comparison of measured and modeled water column concentrations of PCBs to criteria; and
- 6) field-based observations.

Risks to local mammal populations were examined using four lines of evidence:

- 1) comparison of measured and modeled total PCB dietary doses to TRVs;
- 2) comparison of measured and modeled TEQ dietary doses to TRVs;
- 3) comparison of measured and modeled water column concentrations of PCBs to criteria; and
- ♦ 4) field-based observations.

Risks to significant habitats were examined using two lines of evidence:

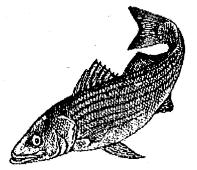
- 1) comparison of measured and modeled water column concentrations of PCBs to criteria; and
- 2) comparisons of measured and modeled sediment concentrations to guidelines.



Largemouth Bass Risk Based on TEQs

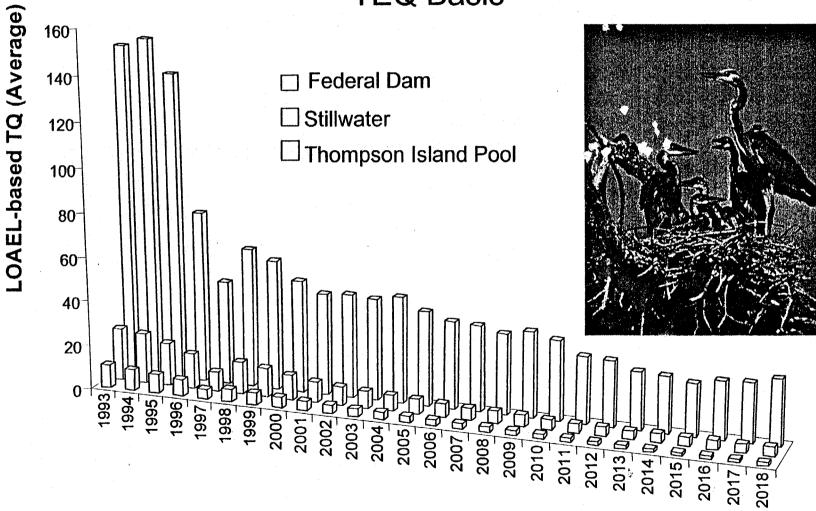
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Striped Bass Toxicity Quotients

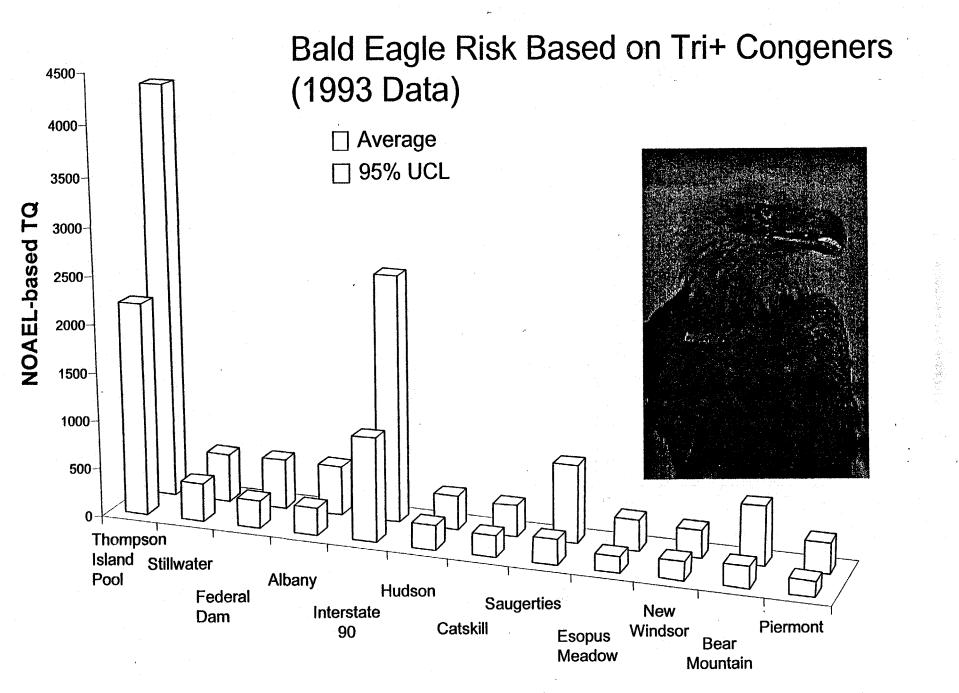


Belted Kingfisher Dietary Risk Based on Tri+ Congeners LOAEL-based TQ (Average) 80-70-Federal Dam 60[±] □ Stillwater **Thompson Island Pool** -2013 [

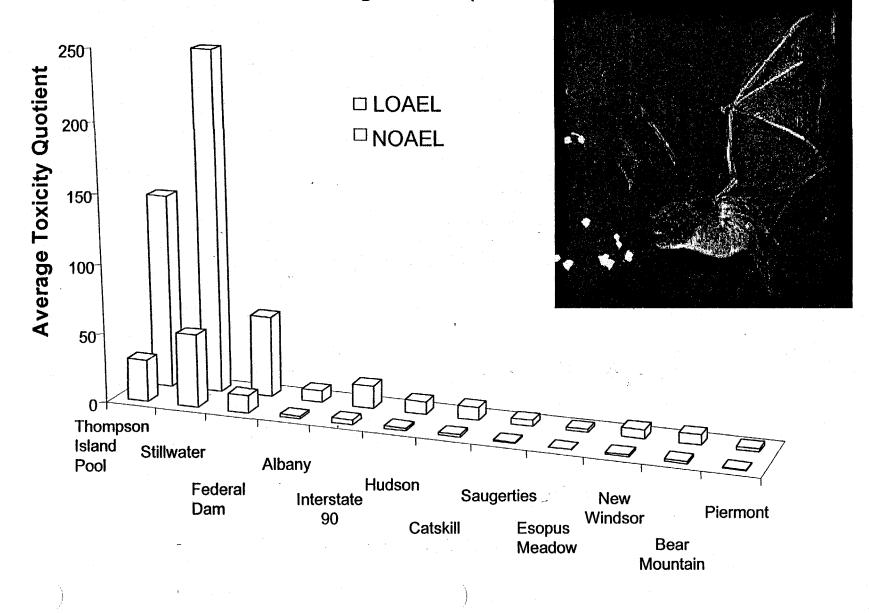
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Great Blue Heron Egg Risk on a TEQ Basis

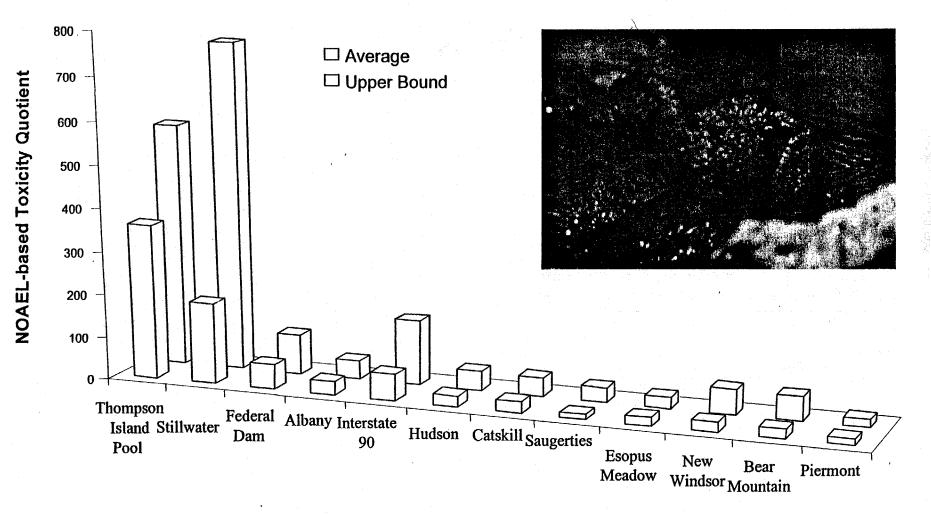


Little Brown Bat Dietary Risk Based on Tri+ Congeners (1993 Data)



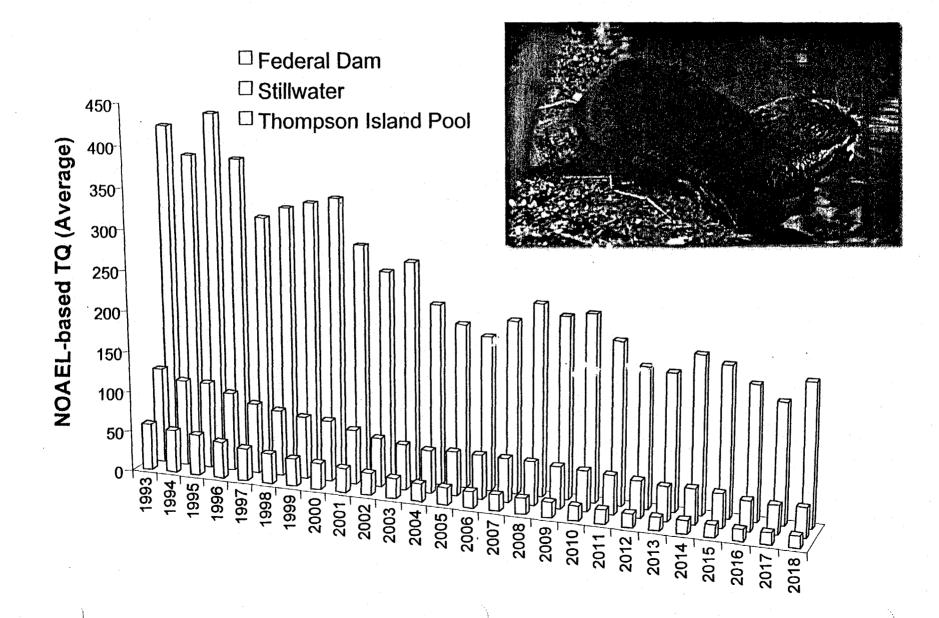
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Mink Dietary Risk Based on Tri+ Congeners (1993 Data)



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River Otter Risk Based on Tri+ Congeners



Field Studies

Ongoing studies for mammals and birds

♦ Mammals

- ♦ Trapping records
- ♦ No organized surveys
- ♦ Avian
 - NYSDEC tagging program for eagles
 USFWS
- ♦ Fish
 - Sampling for contaminants
 - Power plant abundance surveys

Uncertainty Analysis

Exposure assessment ♦ Conceptual model ♦ Quantitative exposure parameters PCB concentrations ♦ Effects assessment ♦ Interspecies ♦ Acute to chronic Magnitude of TQs

- Toxicity quotients for upper trophic level birds and mammals exceed regulatory levels of concern
- PCBs may impair but not *prevent* reproduction

PCBs may adversely affect the survival, growth and reproduction of these animals

- Toxicity quotients for birds and mammals consuming emergent aquatic insects and/or plants (bat, mallard, tree swallow) exceed regulatory levels of concern
- PCBs may impair but not prevent reproduction

PCBs may adversely affect the survival, growth and reproduction of these animals

- Toxicity quotients for fish at the top of the food chain (such as largemouth bass, striped bass) exceed regulatory levels of concern
- PCBs may impair but not prevent reproduction and recruitment

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PCBs may adversely affect fish survival, growth and reproduction

Threatened and endangered species, represented by the bald eagle and shortnose sturgeon, are at risk due to exposure to PCBs

> Threatened and endangered species face reproductive risks from PCBs

PCB concentrations in water and sediments in the Hudson River generally exceed protective criteria and guidelines

> Significant habitats and the animals that use them face risks from PCBs

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Risks to fish and wildlife:

- Greatest in the Upper Hudson River
- Generally decrease moving down river
- ♦ Greatest for top level predators
- Under baseline conditions many species face considerable risk through the entire forecast period (modeled to 2018)

Upper Hudson River Human Health Risk Assessment

Hudson River PCBs Reassessment



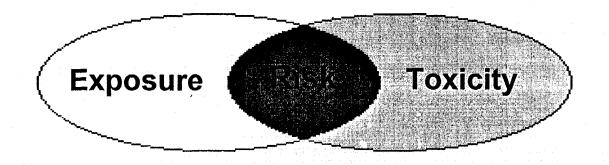
MARIAN OLSEN - USEPA Dave MERRILL - GRADIENT CORP

Peer Review Meeting March 23, 2000

Outline of Discussion

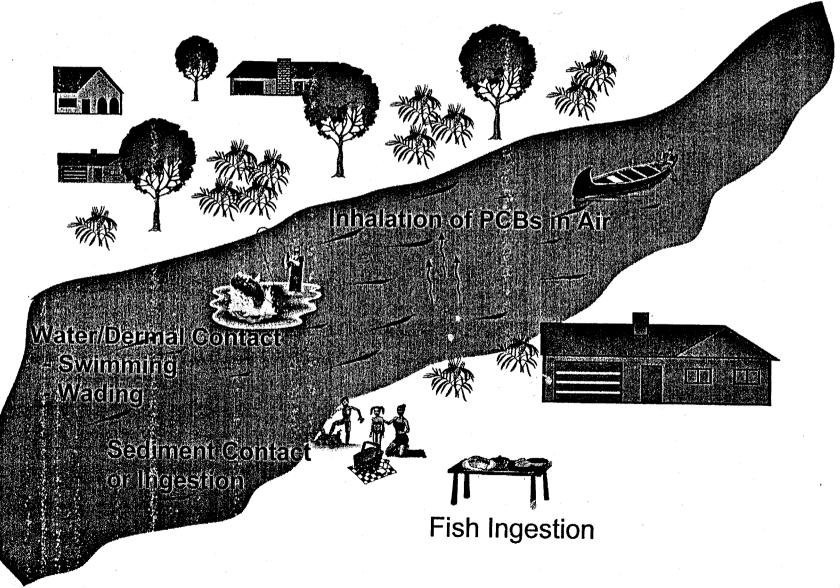
- Summary of risk results (brief)
- PCB toxicity issues
- Exposure pathways
 - fish, water, sediment, air
 - Project-specific exposure factors
- Monte Carlo (probabilistic) approach
 - Uncertainty/Sensitivity Analysis
- Revised Upper-Hudson results based on revised BMR

U.S. EPA Superfund Risk Assessment

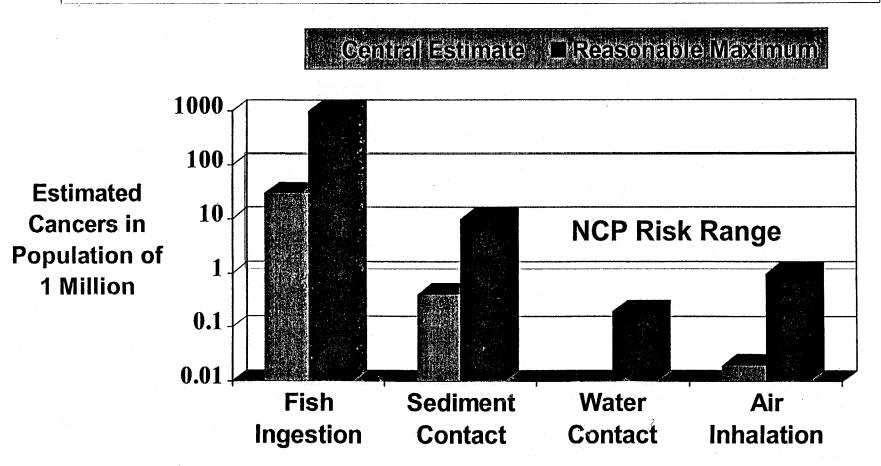


- Evaluated under <u>current</u> (baseline) and <u>future</u> conditions
- Baseline conditions evaluated in the <u>absence</u> of institutional or other controls
- Goal is health protection under <u>reasonable maximum</u> <u>exposures</u>

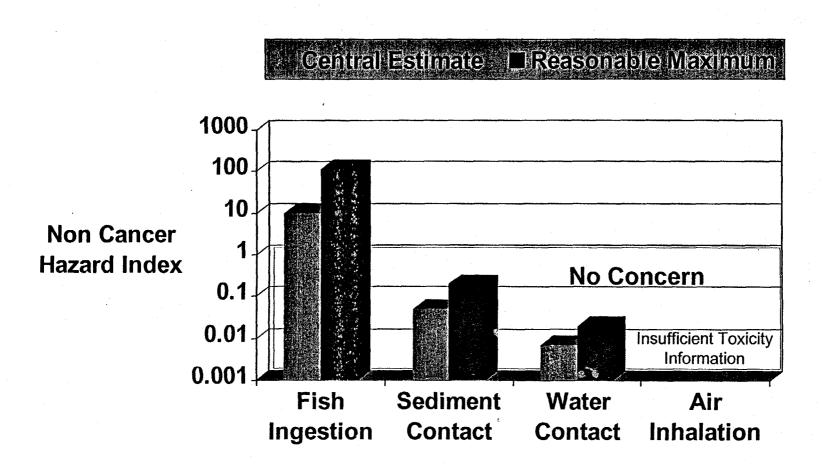
Upper Hudson River Exposure Pathways



Cancer Risk Summary



Non-Cancer Hazard Index Summary



August 1999 Report Values

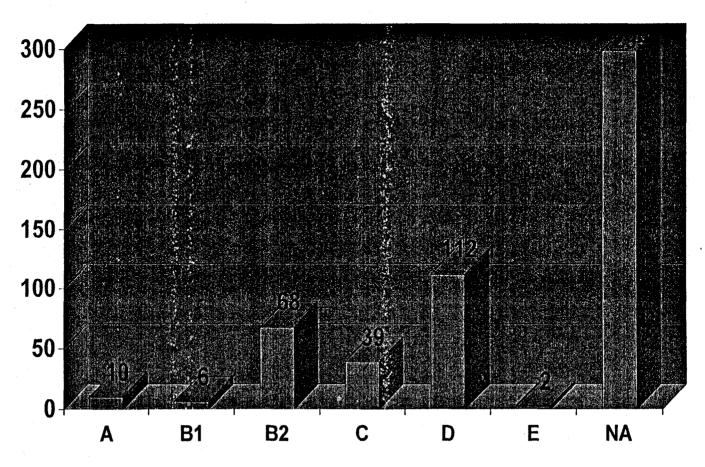
Process for Chemical Listing in IRIS

- Identify chemical in Federal Register listing
- EPA chemical manager develops toxicological file
- Draft chemical file reviewed by EPA
- File submitted for external review
- Internal (EPA) consensus review
- File is posted on IRIS

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Carcinogens Classified in IRIS

Number of Chemicals (536 Total)



Note: As of September 1999

Carcinogenicity of PCBs (IRIS)

Classified as probable human carcinogen

040

274

• Evidence from occupational studies is inadequate but suggestive

Sufficient evidence from animal laboratory studies

- Rats exposed to Aroclors 1260, 1254, 1242 and 1016 exhibited liver tumors (1996 study)
- Males had increased numbers of thyroid tumors for all Aroclors.
- Commercial Arcolor mixtures cover range of congeners found
 in environment

Cancer Slope Factors for PCBs CSF in mg/kg-day⁻¹ (IRIS)

- High Risk & Persistence (soil, sediment, fish)
 - Upper-bound CSF = 2
 - Central Tendency CSF = 1
- Low Risk & Persistence (air, water)
 - Upper-bound CSF = 0.4
 - Central Tendency CSF = 0.3
- ◆ Lowest Risk & Persistence (PCBs with ≤ 4 chlorines)
 - Upper bound CSF= 0.07
 - Central tendency CSF = 0.04

Non-Cancer PCB Toxicity Factors (IRIS)

- <u>Reference Dose (RfD)</u>: chemical intake likely to be without an appreciable risk of adverse effects to humans during a lifetime.
- RfD is based on feeding studies with rhesus monkeys
- Example adverse effects observed in animals:
 - Reduced birthweight (1016)
 - immune system impairment (1254)
 - eye toxicity (1254)

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PCBs have among the lowest reference doses (high toxicity) in IRIS

IRIS Non-Cancer Toxicity Factors (RfDs) for PCBs

- ♦ Aroclor 1254 RfD = 2 x 10⁻⁵ mg/kg-day
 - LOAEL based on various clinical & immunologic effects
- ♦ Aroclor 1016 RfD = 7 x 10⁻⁵ mg/kg-day
 - NOAEL based on reduced birth weight

Averaging Time Considerations

- Due to PCB concentrations decline over time, average concentration declines as averaging duration increases.
- Non-cancer
 - RME concentration averaged over 7 years
 - 7-year period is based on definition of "chronic" exposure
 - Central tendency concentration averaged over ≈12 years

Cancer

Averaged over RME (≈40 years) and central tendency (≈12 years) exposure durations

Risks to Children

Fish Ingestion

- Point Estimate
 - meal portion $\approx 1/3$ of adult portion
 - RME cancer risk \approx 1.2-fold lower than adult (revised values)
 - RME Hazard Index ≈ 2.3-fold greater than adult (revised values)
- Monte Carlo
 - Simulated ages from \geq 10 up to 70 years
 - No specific break-out of risks by age group

Sediment, Water & Air

Included children, adolescents, and adults for all exposures

Revised results in Responsiveness Summary

Exposure Pathways Evaluated

- Fish Ingestion
 - · anglers who catch fish in Hudson in absence of advisories
- Drinking water (de minimis -- Phase 1)
- Water (recreational contact)
 - Dermal Contact
- Sediment (recreational contact)
 - Dermal contact
 - Ingestion
- Air

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• Recreators and residents along the river

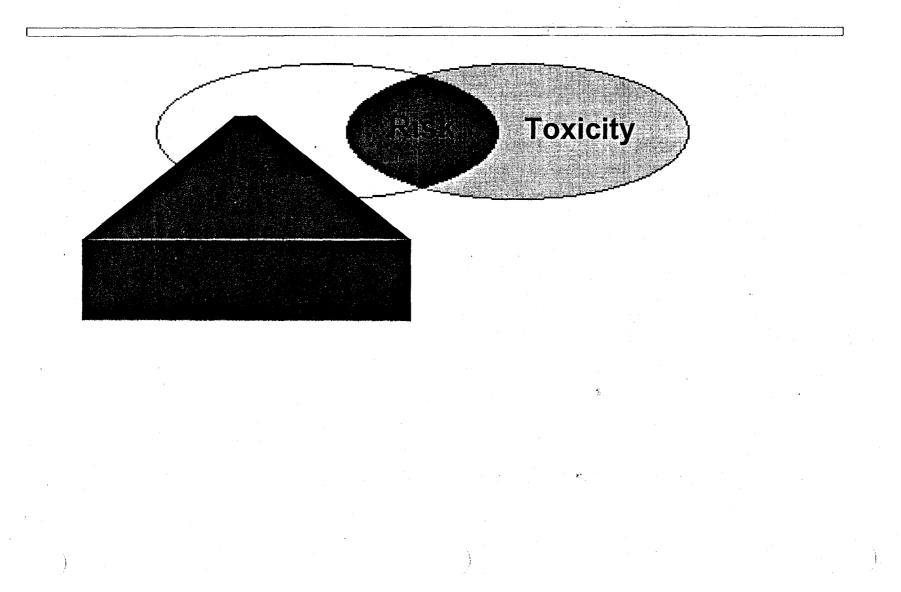
Point Estimate & Probability Analyses

- For all exposure pathways "point estimate" exposure and risk calculations were performed:
 - Central Tendency estimate of average risk
 - Reasonable Maximum Exposure (RME) risk
- Monte Carlo analysis performed for fish ingestion

Summary of Important Exposure Factors

Exposure Factor.	Centrell Estimate	Reasonable Maximum (RME)
Fish Ingestion		
, Consumption (meals/year)	<u>a.</u> ≈6	51,
Exposure Duration (years)	12	40
PCBs Lost in Cooking	≢20%÷	0%
Exposure to Water/Sediment		
Adult/Child Recreation (Summer)	50% of RME	1 day per week
Adolescent Recreation (Summer)	50% of RME	3 days per week
Residence Duration (years)	11	41
Air Inhalation		
Residence Duration (years)	11	41

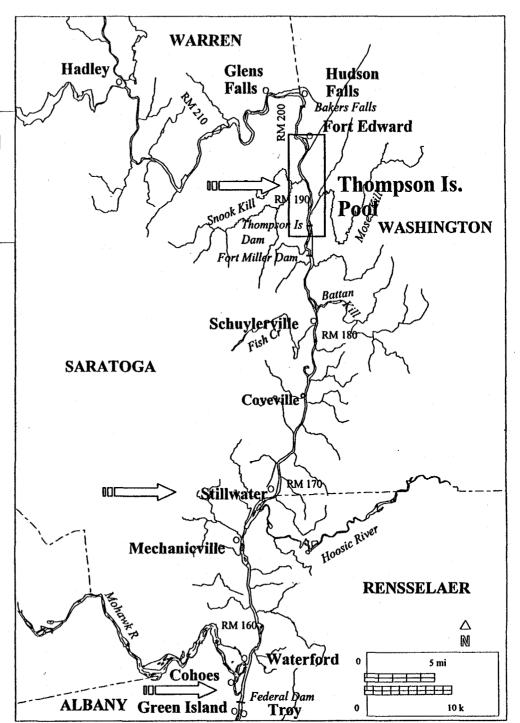
Discussion of Exposure Factors



Scope of Upper Hudson Human Health Risk Assessment

Locations of modeled PCB bioaccumulation in Fish

BOC

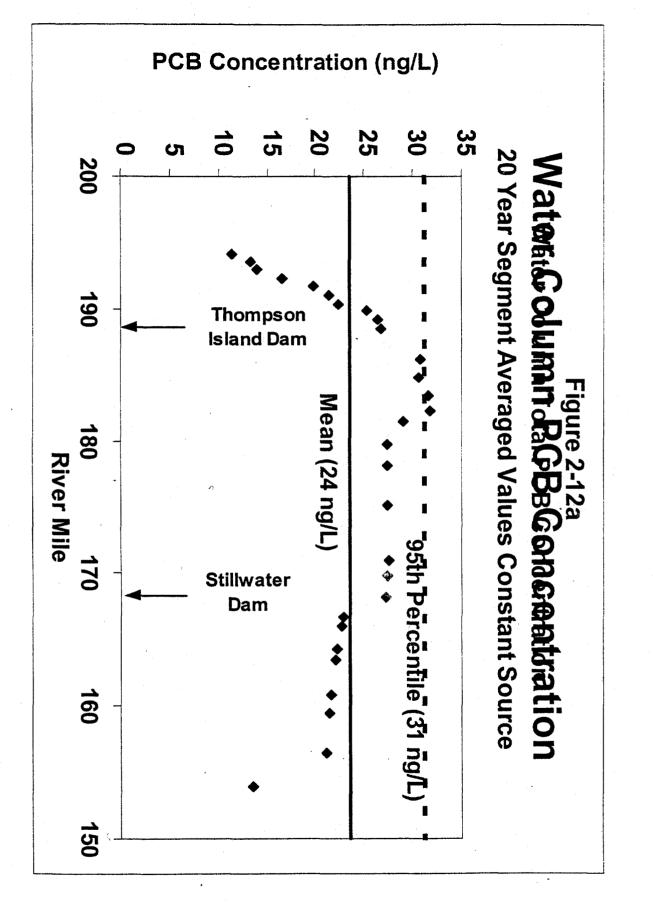


Exposure Point Concentrations (EPC)

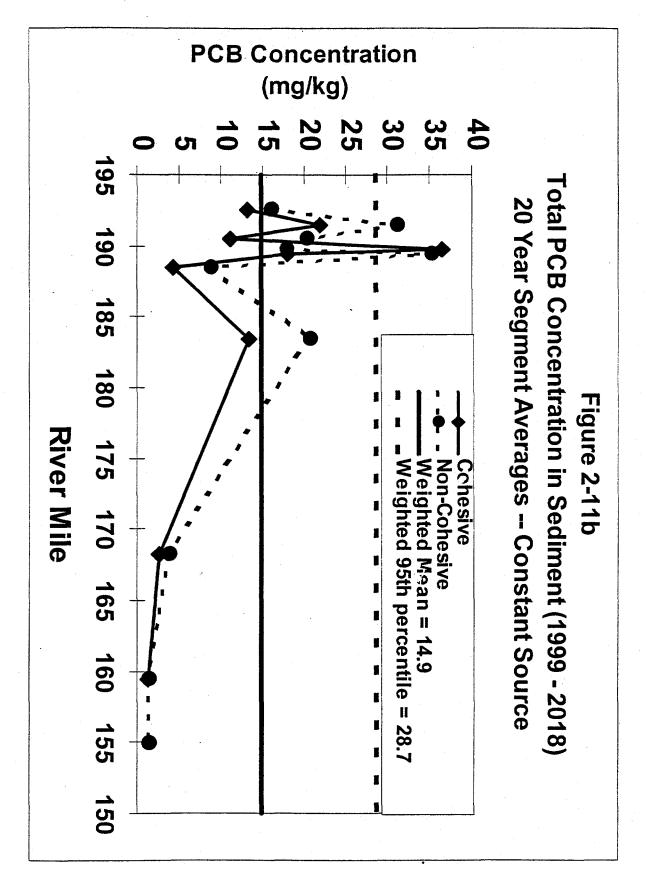
Water & Sediment

- Baseline modeling report 20 year simulations (revised BMR 70 years)
- Air (2 screening level estimation methods)
 - Empirical transfer coefficient based on 1991 remnant monitoring and coincident river water monitoring
 - Mathematical diffusion & air dispersion modeling with field measured diffusion coefficients for Tri- and Tetra-CB
- Fish
 - Extrapolation of 20 year modeling results -- 3 species for 3 locations (revised BMR 70 years)

August 1999 Report Example

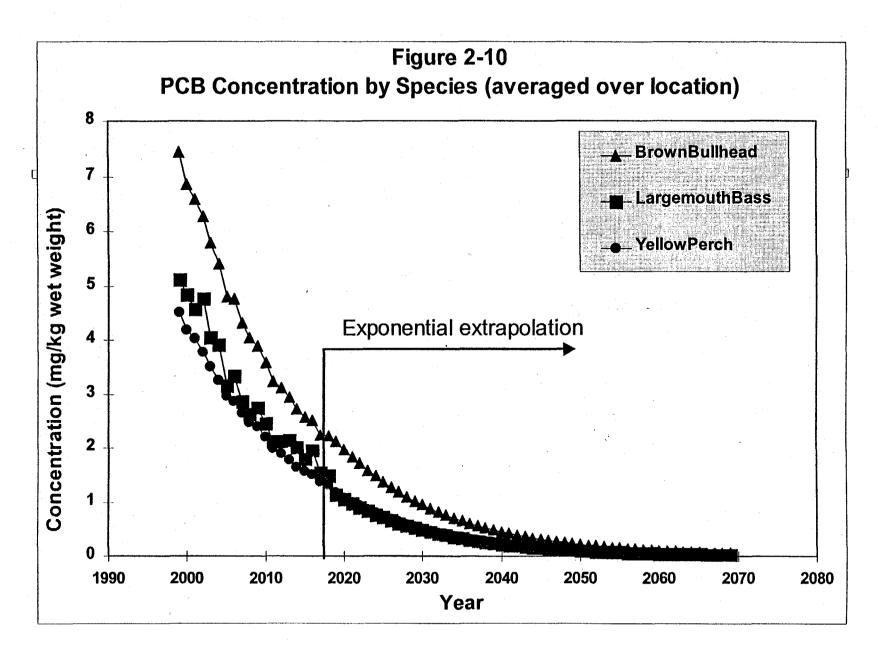


August 1999 Report Example



PCB Concentration in Fish

- ♦ 3 Modeled species -- bass, bullhead, perch
- Species consumption frequency reported in 1991 NY Angler survey for:
 - bass, walleye, bullhead, carp, eel, perch (U. Hudson species)
 - salmon, trout, other (not typical U. Hudson)
- Species ingestion fractions for 3 modeled species used as weighting factors for concentration term
- August 1999 Report extrapolated 20-year model forecast to cover up to 70 years exposure duration
 - No extrapolation for revised BMR



August 1999 Report Example

Summary of PCB Concentration Term in Fish Used in Risk Calculations

- Point estimate adopted average concentration over 3 model locations
 - 40 year average = 2.2 mg/kg (1.4 mg/kg revised)
 - 12 year average = 4.4 mg/kg (2.4 mg/kg revised)

PCB concentration variability was addressed:

- Variations among species consumed
- Variation in concentration between upstream and downstream locations (Monte Carlo sensitivity analysis)

Angler Population Exposure Duration

• Exposure defined as the minimum of:

- Residence duration in 5 counties bordering Upper Hudson
- Fishing duration

Residence duration estimated from 1990 Census data

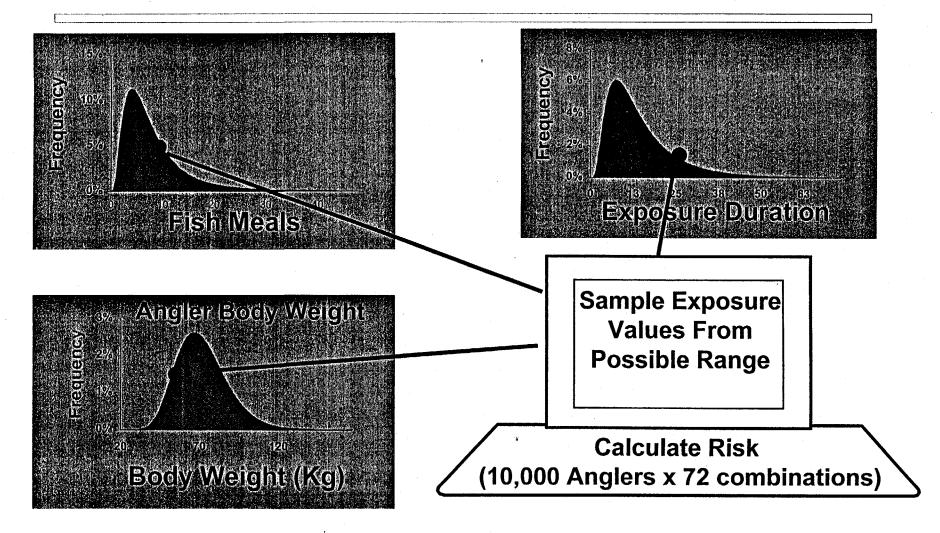
- Fishing duration based on analysis of 1991 NY Angler Survey data
- Results:
 - 50th percentile = 12 years
 - 95th percentile = 40 years

Variability/Uncertainty Analysis Evaluated Wide Range of Exposure Factors

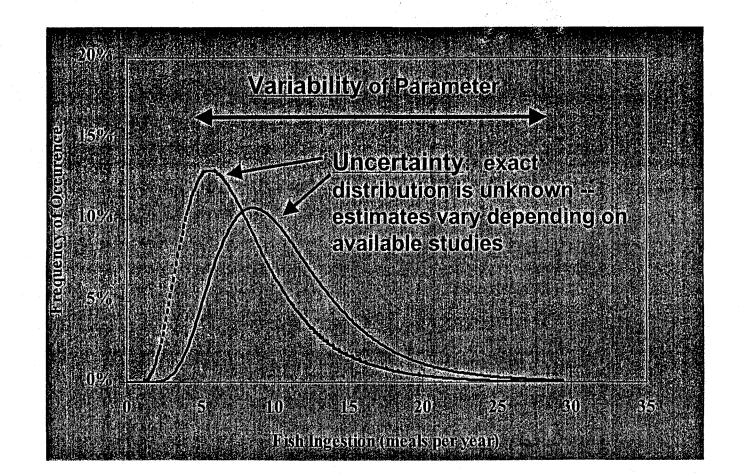
Point estimates

- Central tendency and reasonable maximum exposure (RME)
- Intent is to assess exposures \geq 90th percentile
- RME is combination of average and 90th or 95th percentile values for individual exposure factors
- Probability methods (Monte Carlo Analyses)
 - Developed distribution of fish consumption rates, species ingestion patterns, exposure duration
 - Sensitivity of input distributions and discrete estimates

Schematic of Monte Carlo Exposure to PCBs via Fish Consumption



Exposure Factors Exhibit a Combination of Variability and Uncertainty



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Full 2-D Monte Carlo Was Not Feasible

- Insufficient data to characterize probability distributions for uncertainty
- Sensitivity analysis performed as alternative to 2-D
- Examined uncertainty using a range of possible inputs (72 combinations)

Monte Carlo Analyses 10,000 Anglers x 72 Combinations

Exposure Factor	Base Case	Sensitivity Analysis
Fish Consumption	1991 NY Angler Survey	Maine Survey Michigan Survey L. Ontario Survey
Exposure Duration	Minimum of Fishing and Residence Duration	Residence Duration only
PCBs Lost in Cooking	20% (micipointi)	0% high end 40% low end
Fishing Location (concentration)	Average Thompson Is. Pool, Stillwater, Troy/Albany	Thompson Is. Pool (high) Troy/Albany (low)

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Angler Surveys Used for Sensitivity Analysis

1991 NY Angler Survey (Connelly et al., 1992)

- Mail survey of licensed anglers -- 1,030 responses
- Reported water body, fish catch, consumption, species, distance traveled, awareness of advisories

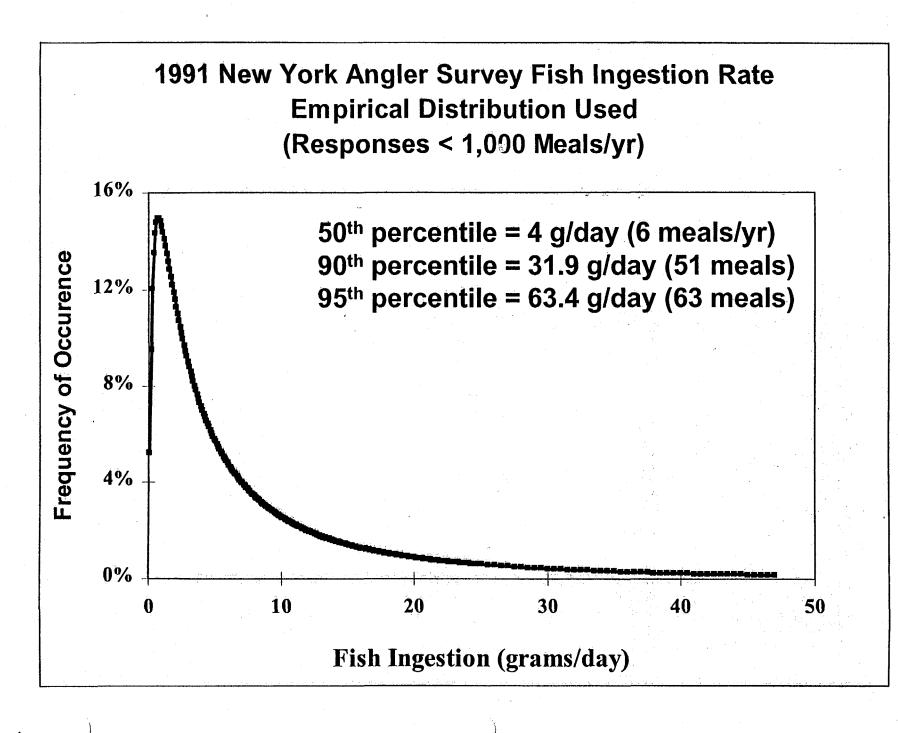
1992 L. Ontario Study (Connelly et al., 1996)

- 12-month diary survey -- 516 responses
- respondents recorded fish consumption & fishing trips for a 1-year period

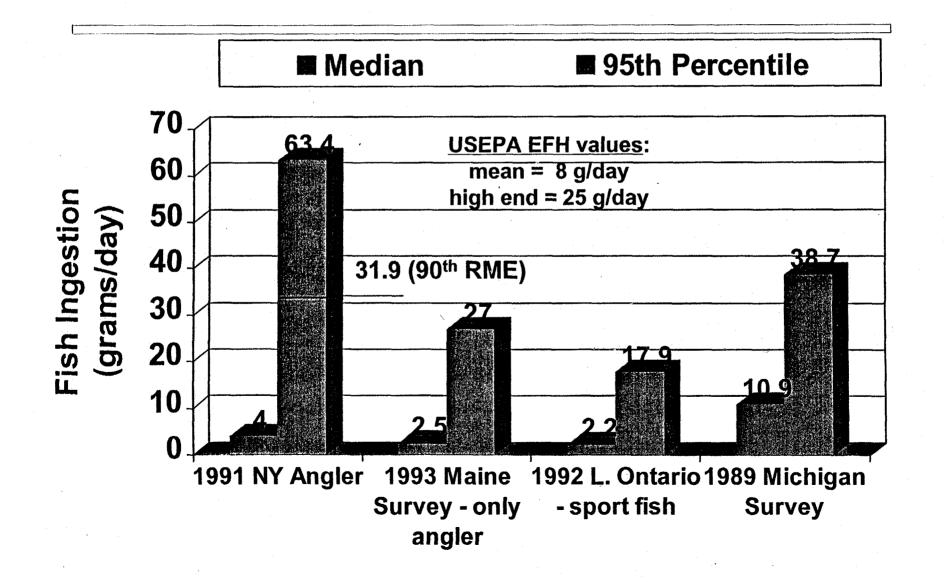
Angler Surveys Used (cont.)

- ♦ 1993 Maine Angler (Ebert et al., 1993)
 - Mail survey of licensed anglers
 - 1,612 returned surveys
 - Freshwater fish catch & consumption rates
- 1989 Michigan Sport Anglers (West et al., 1989)
 - Mail survey of licensed anglers over 5 month period
 - 1,104 responses
 - Fish consumption (self-caught and other), serving size (8 oz. most typical)
- Hudson-specific surveys considered but not adopted

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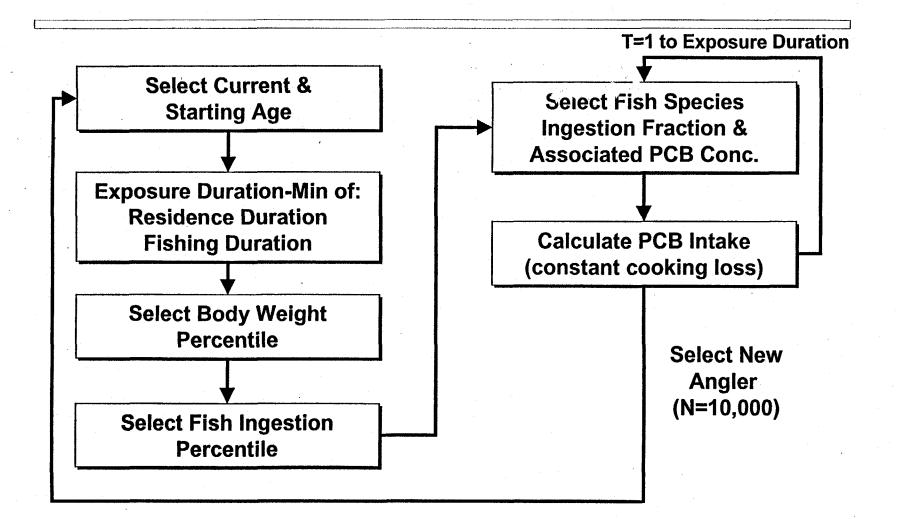


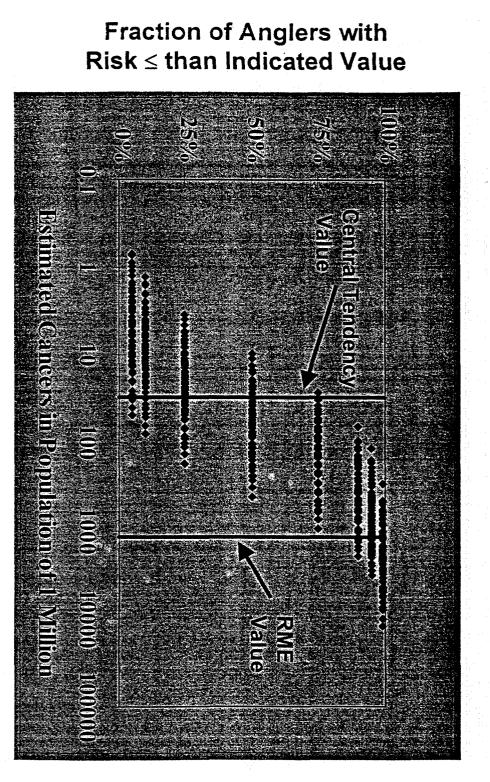
Comparison of Fish Ingestion Studies



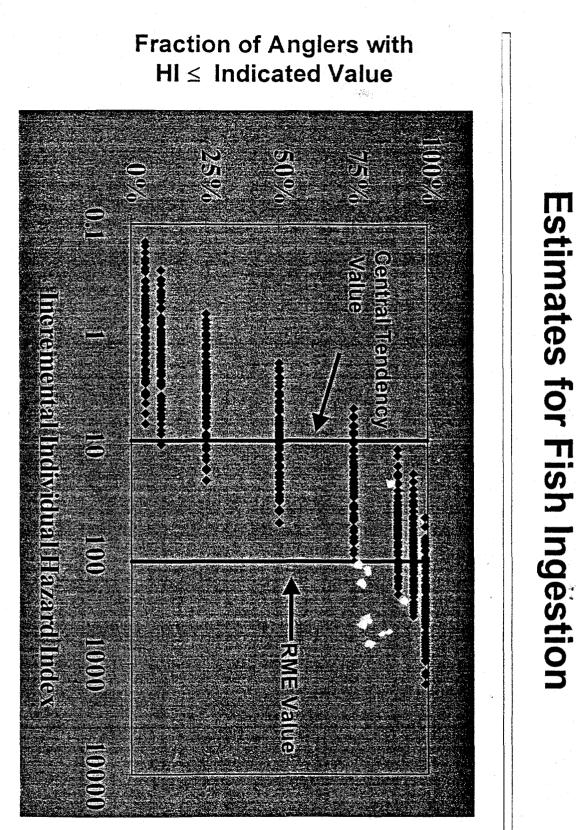
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Monte Carlo Simulation Approach





Range of Cancer Risk Estimates for Fish Ingestion

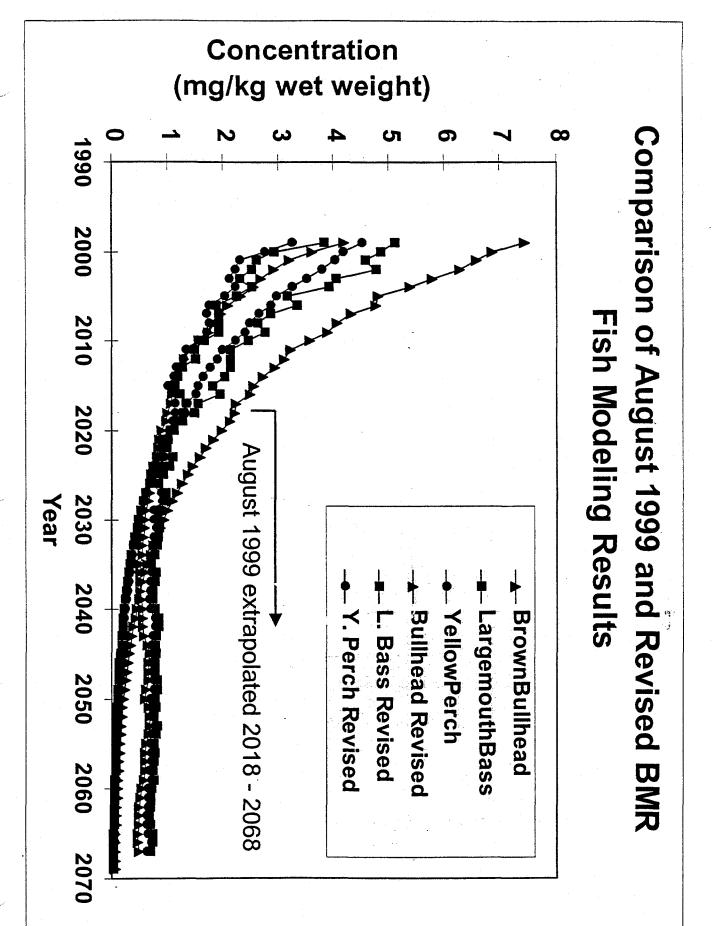


Range of Non-Cancer Hazard Index (HI) **Estimates for Fish Ingestion**

Augur 1999 Report Values

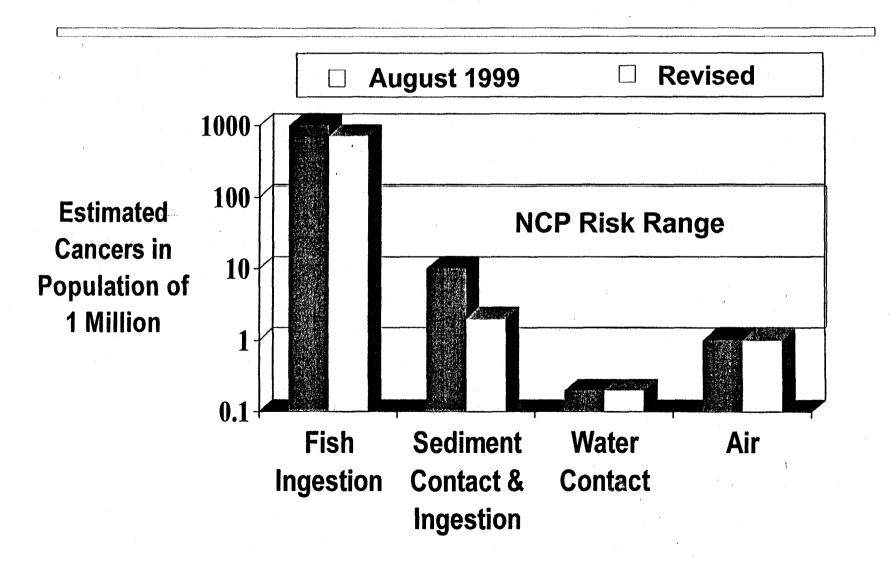
Impact of Revised BMR Results on August 1999 Upper Hudson Risk Assessment

- Revised BMR forecast period = 70 years (vs. 20)
- ♦ Modeled average concentration in fish declined by ≈2-fold or less depending on species.
- Concentrations in water and sediment averaged over central tendency and RME exposure duration for child, adolescent, adult exposures (not limited to 20 year model period).
- Point estimate adult RME fish ingestion results:
 - Cancer risks decline by approximately 30%
 - Non-cancer hazards decline by 44%

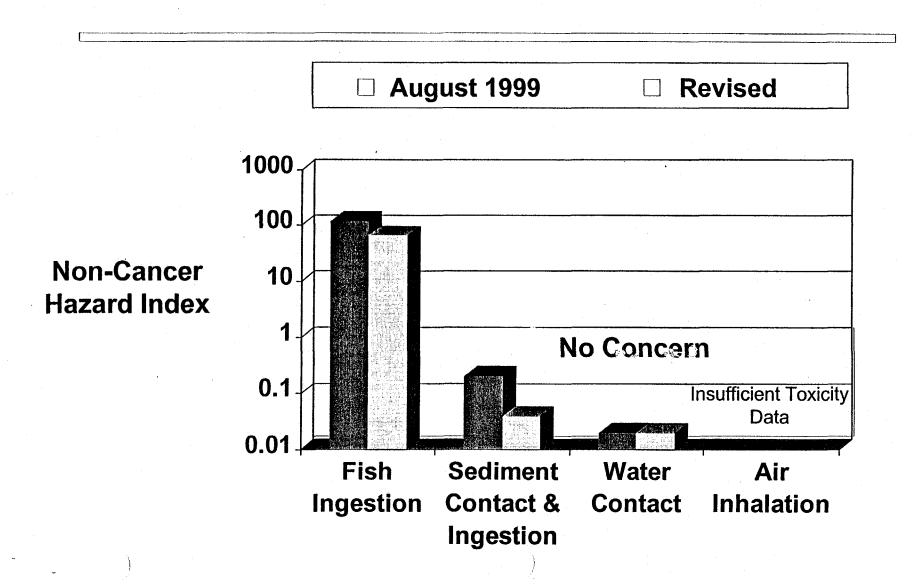


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Revised BMR Results -- Cancer RME



Revised BMR -- Non-Cancer RME



Summary

- Fish ingestion RME cancer risks and non-cancer hazards exceed levels of USEPA concern
- Other exposure pathways are at or below levels of USEPA concern
- Revised BMR results do not significantly alter the risk assessment results
- Monte Carlo Analysis indicates RME fish ingestion:

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 at or above 75th percentile for all combinations of exposure factor scenarios examined (cancer and non-cancer)